

Format of holding of specified securities

1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008)

2. Scrip Code 011262 Name of Scrip -,- Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/03/2024

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	
6	Whether company has equity shares with differential voting rights?		No
7	Whether the Listed Entity has any significant beneficial owner?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table I - Summary Statement holding of specified securities as on 31/03/2024

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	2	126903123	0	0	126903123	74.7170	126903123	0	126903123	74.7170	0	74.7170	8451840	6.6601	20886	0.0165	126903123
(B)	Public	8560	42941977	0	0	42941977	25.2830	42941977	0	42941977	25.2830	0	25.2830	0	0.0000	NA		42941977
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	8562	169845100	0	0	169845100	100.0000	169845100	0	169845100	100.0000	0	100.0000	8451840	4.9762	20886	0.0123	169845100

Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/03/2024

	Category & Name of the Shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN	(II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
											No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
											Class	x	Class y								
(1)	Indian																				
(a)	Individuals/Hindu undivided				1	110909060	0	0	110909060	65.3001	1.11E+08	0	1.11E+08	65.3001	0	65.3001	0	0.0000	0	0.0000	110909060
	ALPANA SANJAY DANGI	Promoter	AFNPD2805C		1	110909060	0	0	110909060	65.3001	1.11E+08	0	1.11E+08	65.3001	0	65.3001	0	0.0000	0	0.0000	110909060
(b)	Central Government/State Government(s)													0	0.0000	0	0.0000	0	0.0000	0	
(c)	Financial Institutions/Banks													0	0.0000	0	0.0000	0	0.0000	0	
(d)	Any Other (specify)													0	0.0000	0	0.0000	0	0.0000	0	
	Bodies Corporate				1	15994063	0	0	15994063	9.4169	15994063	0	15994063	9.4169	0	9.4169	8451840	52.8436	20886	0.1306	15994063
	MENTOR CAPITAL LIMITED	Promoter	AACCP7995G		1	15994063	0	0	15994063	9.4169	15994063	0	15994063	9.4169	0	9.4169	8451840	52.8436	20886	0.1306	15994063
	Sub Total				2	126903123	0	0	126903123	74.7170	1.27E+08	0	1.27E+08	74.7170	0	74.7170	8451840	6.6601	20886	0.0165	126903123
(2)	Foreign																				
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)													0	0.0000	0	0.0000	0	0.0000	0	
(b)	Government													0	0.0000	0	0.0000	0	0.0000	0	
(c)	Institutions													0	0.0000	0	0.0000	0	0.0000	0	
(d)	Foreign Portfolio Investor													0	0.0000	0	0.0000	0	0.0000	0	
(e)	Any Other (specify)													0	0.0000	0	0.0000	0	0.0000	0	
	Sub Total				0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				2	126903123	0	0	126903123	74.7170	1.27E+08	0	1.27E+08	74.7170	0	74.7170	8451840	6.6601	20886	0.0165	126903123

Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/03/2024

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)				
									No of Voting Rights					Total as a % of Total Voting rights	As a % of total shares held (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)	Shareholding (No of shares) under			
									Class x	Class y	Total									Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)	
(1)	Institutions (Domestic)																						
(a)	Mutual Funds																						
(b)	Venture Capital Funds																						
(c)	Alternate Investment Funds																						
(d)	Banks																						
(e)	Insurance Companies																						
(f)	Provident Funds / Pension Funds																						
(g)	Asset Reconstruction Companies																						
(h)	Sovereign Wealth Funds																						
(i)	NBFCs Registered with RBI																						
(j)	Other Financial Institutions																						
(k)	Any Other (specify)																						
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA		0	0	0	0	0
(2)	Institutions (Foreign)																						
(a)	Foreign Direct Investment																						
(b)	Foreign Venture Capital Investors																						
(c)	Sovereign Wealth Funds																						
(d)	Foreign Portfolio Investors Category I		21	4172672	0	0	4172672	2.4568	4172672	0	4172672	2.4568	0	2.4568	0	0.0000	NA		4172672	0	0	0	0
	CALYPSO GLOBAL INVESTMENT FUND	AAICC2725P	1	2592850	0	0	2592850	1.5266	2592850	0	2592850	1.5266	0	1.5266	0	0.0000	NA		2592850	0	0	0	0
(e)	Foreign Portfolio Investors Category II		4	8037963	0	0	8037963	4.7325	8037963	0	8037963	4.7325	0	4.7325	0	0.0000	NA		8037963	0	0	0	0
	NEXPACT LIMITED	AAFCN0208F	1	8009190	0	0	8009190	4.7156	8009190	0	8009190	4.7156	0	4.7156	0	0.0000	NA		8009190	0	0	0	0
(f)	Overseas Depositories (holding DRs) (balancing figure)																						
(g)	Any Other (specify)																						

Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/03/2024

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C 2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)			
									No of Voting Rights				No of shares Underlying outstanding convertible securities (including Warrants) (X)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)		Shareholding (No of shares) under			
									Class x	Class y	Total							Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)	
	Sub Total		25	12210635	0	0	12210635	7.1893	12210635	0	12210635	7.1893	0	0.0000	NA		12210635	0	0	0	
(3)	Central Government / State Government(s)																				
(a)	Central Government / President of India																				
(b)	State Government / Governor																				
(c)	Shareholding by Companies or Bodies Corporate where Central/State Governemnt is a promoter																				
	Sub Total		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA		0	0	0	0
(4)	Non-Institutions																				
(a)	Associate Companies / Subsidiaries																				
(b)	Directors and their relatives (excluding independent directors and nominee directors)		1	2000	0	0	2000	0.0012	2000	0	2000	0.0012	0	0.0000	NA		2000	0	0	0	
(c)	Key Managerial Personnel																				
(d)	Relatives of Promoters (other than immediate relatives of promoters disclosed under Promoter and Promoter Group category)																				
(e)	Trusts where any person belonging to Promoter and Promoter Group category is trustee, beneficiary or author of the trust																				
(f)	Investor Education and Protection Fund Authority		1	175500	0	0	175500	0.1033	175500	0	175500	0.1033	0	0.0000	NA		175500	0	0	0	
(g)	Resident Individuals holding nominal share capital upto Rs.2 lakhs		8260	2457739	0	0	2457739	1.4470	2457739	0	2457739	1.4470	0	0.0000	NA		2457739	0	0	0	
(h)	Resident Individuals holding nominal share capital in excess of Rs.2 lakhs		6	8350070	0	0	8350070	4.9163	8350070	0	8350070	4.9163	0	0.0000	NA		8350070	0	0	0	

Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/03/2024

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C 2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares (XV)				
									No of Voting Rights				No of shares Underlying outstanding convertible securities (including Warrants) (X)	As a % of total shares held (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)	Shareholding (No of shares) under			
									Class x	Class y	Total								Sub-Category (i)	Sub-Category (ii)	Sub-Category (iii)	
	PRITHVIRAJ SAREMAL KOTHARI	AACTP4053E	1	2700000	0	0	2700000	1.5897	2700000	0	2700000	1.5897	0	1.5897	0	0.0000	NA		2700000	0	0	0
	NAMITA KETAN KOTHARI	AACTK3210A	1	1970720	0	0	1970720	1.1603	1970720	0	1970720	1.1603	0	1.1603	0	0.0000	NA		1970720	0	0	0
(i)	Non Resident Indians (NRIs)		57	18147	0	0	18147	0.0107	18147	0	18147	0.0107	0	0.0107	0	0.0000	NA		18147	0	0	0
(j)	Foreign National																					
(k)	Foreign Company / OCB																					
(l)	Bodies Corporate		72	19329997	0	0	19329997	11.3810	19329997	0	19329997	11.3810	0	11.3810	0	0.0000	NA		19329997	0	0	0
	COSMOS HEIGHTS & DEVELOPERS LLP	AALFC9127F	1	9799000	0	0	9799000	5.7694	9799000	0	9799000	5.7694	0	5.7694	0	0.0000	NA		9799000	0	0	0
	SETU SECURITIES PRIVATE LIMITED	AAGCS3919K	5	5435788	0	0	5435788	3.2004	5435788	0	5435788	3.2004	0	3.2004	0	0.0000	NA		5435788	0	0	0
	NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED	AACCN7000Q	1	2252648	0	0	2252648	1.3263	2252648	0	2252648	1.3263	0	1.3263	0	0.0000	NA		2252648	0	0	0
(m)	Any Other (specify)																					
	HUF		131	134961	0	0	134961	0.0795	134961	0	134961	0.0795	0	0.0795	0	0.0000	NA		134961	0	0	0
	Clearing Member		7	262928	0	0	262928	0.1548	262928	0	262928	0.1548	0	0.1548	0	0.0000	NA		262928	0	0	0
	Sub Total		8535	30731342	0	0	30731342	18.0937	30731342	0	30731342	18.0937	0	18.0937	0	0.0000	NA		30731342	0	0	0
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		8560	42941977	0	0	42941977	25.2830	42941977	0	42941977	25.2830	0	25.2830	0	0.0000	NA		42941977	0	0	0

Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/03/2024

	Category & Name of the Shareholders (I)	PAN (II)	No of share holder s (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos shares held (VII)=(IV) + (V)+(VI)	Sharehol ding % calculate d as per SCRR. 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbere d (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares (Not applic able) (b)	
									Class x	Class y	Total								
(1)	Custodian / DR Holder																		
(2)	Employee Benefit Trust/Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021																		
	Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Table V - Statement showing details of significant beneficial owners as on 31/03/2024

	Details of the (SBO)			Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:				Date of creation / acquisition of significant beneficial interest	
	(I)			(II)			Whether by virtue of: (III)				(IV)	
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
1	ALPANA SANJAY DANGI	AFNPD2805C	INDIAN	ALPANA SANJAY DANGI	AFNPD2805C	INDIAN	110909060	65.3001	Yes	Yes		30-09-2019
	Total						110909060	65.3001				

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table VI - Statement showing foreign ownership limits as on 31/03/2024

	Board approved limits	Limits utilized
As on shareholding date	100.0000	7.200
As on 31/12/2023	100.0000	7.201
As on 30/09/2023	100.0000	7.148
As on 30/06/2023	100.0000	7.143
As on 31/03/2023	100.0000	7.012