Format of holding of specified securities

- 1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008
- 2. Scrip Code 011262 Name of Scrip -,- Class of Security Equity
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/12/2022
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether company has equity shares with differential voting rights?		No
7	Whether the Listed Entity has any significant beneficial owner?	Yes	

^{*} If the Listed Entity selects the option 'No' for the questions above, the columus for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table I - Summary Statement holding of specified securities as on 31/12/2022

Tab	e I - Summary	Statemen	nolaing of s	peciliea	securilles a	S ON 3 1/12/2	022											
			No. of fully paid up	paid-up equity	underlying	Total nos.shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	No of Class eg:x	oss of Voting	g Rights hel securities (IX Rights Total	d in each	outstanding convertible securities	share capital)	Number o	As a %	shares or oth encur	As a %	Number of equity shares held in
	Category of		, ,	shares	Depository		(VIII)		eg:y			(including	(XI)=(VII)+(X)		of total			dematerializ
Cate			shares held			(VII)=(IV)+							As a % of		shares			ed form
ory (ders (III)		(V)			(A+B+C2)				(A+B+C)	(X)			held (b)			
(A)	Promoter & Promoter group	2	121391135	0	0	121391135	71.4717	121391135	0	121391135	71.4717	0	71.4717	8451840	6.9625	0	0.0000	121391135
(B)	Public	6590	48453965	0	0	48453965	28.5283	48453965	0	48453965	28.5283	0	28.5283	0	0.0000	NA		48453965
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	6592	169845100	0	0	169845100	100.0000	169845100	0	169845100	100.0000	0	100.0000	8451840	4.9762			169845100

AUTH	UM INVESTMENT & INFRASTRU	CTURE LTI	D																
able	II - Statement Showing shareholding	ng pattern of	f the Promoter	and Promo	ter Group as	on 31/12	2022												
											Voting Rights held in of securities (IX) f Voting Rights	each class	_	Shareholdin g as a % assuming	Number of in shares	of Locked (XII)	Number shares or othe encum	pledged rwise	
(4)	Category & Name of the Shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)		Nos. of sharehol) ders (III)	No. of fully paid up equity shares held (IV)	paid-up equity shares	, ,	Total nos.shares held	Shareholdi ng % calculated as per SCRR. 1957) As a % of (A+B+C2) (VIII)	Class x	Class y Total	Total as a % of Total Voting rights	No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	percentage of diluted share	No. (a)	As a % o total shares held (b)		As a % of total shares held (b)	Number of equity shares f held in dematerialized form (XIV)
(1) (a)	Indian Individuals/Hindu undivided			1	110909060	0	0	110909060	65.3001	110909060	0 110909060	65.3001	C	65.3001	0	0.0000	0 0	0.0000	110909060
	ALPANA SANJAY DANGI	Dramatar	AFNPD28050	2	110909060	0		110909060	CE 2004	110909060	0 110909060	CE 2004		65.3001		0.000		0.000	110909060
	Central Government/State	Promoter	AFNPD28050		110909060	0	U	110909060	65.3001	110909060	0 110909060	65.3001	C	05.3001	0	0.0000	0	0.0000	110909060
(b)	Government(s)												C	0.0000	0	0.0000	0 0	0.0000	0
(c)	Financial Institutions/Banks												(0.0000	0	0.0000	0 0	0.0000	0
(d)	Any Other (specify)												(0.0000	0	0.0000	0 0	0.0000	0
	Bodies Corporate			1	10482075	0	0	10482075	6.1715	10482075	0 10482075	6.1715	C	6.1715	8451840	80.6314	1 0	0.0000	10482075
	MENTOR CAPITAL LIMITED	Promoter	AACCP79950	G 1	10482075	0	0	10482075	6.1715	10482075	0 10482075	6.1715	C	6.1715	8451840	80.6314	4 0	0.0000	10482075
	Sub Total			2	121391135	0	0	121391135	71.4717	121391135	0 121391135	71.4717	C	71.4717	8451840	6.9625	5 0	0.0000	121391135
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)												0	0.0000	0	0.0000	0 0	0.0000	0
(b)	Government												C	0.0000	0	0.0000	0 0	0.0000	0
(c)	Institutions												(0.0000	0	0.0000	0 0	0.0000	0
(d)	Foreign Portfolio Investor												(0.0000	0	0.0000	0 0	0.0000	0
(e)	Any Other (specify)												(0.0000	0	0.0000	0 0	0.0000	0
	Sub Total			(0	0	0	0	0.0000	C	0 0	0.0000	(0.0000	0	0.0000	0 0	0.0000	0 0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				2 121391135	6 0	0	121201425	71 4747	121391135	5 0 121391135	71 4747		71 4747	8451840	6.9625	5 0	0.0000	121391135

UTHUM INVESTMENT & INFRASTRUC	TURE LTD																			$\overline{}$
able III - Statement Showing shareholdir		Public share	eholder as	on 31/12/20)22															
							Sharehol			g Rights held securities (IX Rights		No of	Total Sharehold ing as a % assuming full conversio	Loc	nber of ked in es (XII)	Number of shares pledged or otherwise encumbered (XIII)			orization of sl	
Category & Name of the Shareholders (I)	PAN (II)	ŀ	up equity shares	up equity shares		Total nos.shares held (VII)=(IV)+	ding % calculate d as per SCRR. 1957) As a % of (A+B+C2	Class x	Class y	Total	Total as a % of Total Voting rights	shares Underlying outstandin g convertible securities	n of convertibl e securities (as a percentag e of diluted	No. (a)	of total	No. shares (Not held applic (Not able) applica	Number of equity shares held in dematerialize d form		Sub- Category (ii)	Sub- Catego
1) Institutions (Domestic)	, ,	` '	` ′	` ′	, , ,		, , ,													
a) Mutual Funds																				
b) Venture Capital Funds																				
c) Alternate Investment Funds																				
d) Banks																				
e) Insurance Companies																				
f) Provident Funds / Pension Funds																				+
g) Asset Reconstruction Companies																				
h) Sovereign Wealth Funds																				
NBFCs Registered with RBI																				
Other Financial Institutions																				
k) Any Other (specify)																				+
Sub Total 2) Institutions (Foreign) a) Foreign Direct Investment		0	0	0	0	0	0.0000	C	0	0	0.0000	0	0.0000	0	0.0000	NA	(0	0) (
b) Foreign Venture Capital Investors																				
c) Sovereign Wealth Funds																				
Foreign Portfolio Investors Category I		4	3884810	0	0	3884810	2.2873	3884810	0	3884810	2.2873	3 0	2.2873	0	0.0000	NA	3884810	0 0	0) (
CALYPSO GLOBAL INVESTMENT FUND	AAICC2725P	1	2592850	0	0	2592850	1.5266	2592850	0 0	2592850	1.5266	6 0	1.5266	0	0.0000	NA	2592850) 0	0) (
Foreign Portfolio Investors																				
e) Category II			8009190	0	-								4.7156		0.0000		8009190			
NEXPACT LIMITED	AAFCN0208F	1	8009190	0	0	8009190	4.7156	8009190	0	8009190	4.7156	0	4.7156	0	0.0000	NA	8009190	0	0	(
Overseas Depositories (holding DRs) (balancing figure)																				
g) Any Other (specify)																				_

ALITHUM INVESTMENT A INFOACTOUCTURE LTD			1											
AUTHUM INVESTMENT & INFRASTRUCTURE LTD	Public charobolder as an 31/13/20	122												
Table III - Statement Showing shareholding pattern of the	Public snareholder as on 31/12/20	022								Total				
					(g Rights held in securities (IX) Rights	n each		Sharehold ing as a % assuming full	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	Sub-categorization of shareholding (No of sh	
				Sharehol					No of	conversio	(,	,	3, 11	
Category & Name of the Shareholders (I) PAN (II) Sub Total	No. of fully paid up equity sharehold shares ers (III) held (IV) held (V)	underlying Depository Receipts (VI)	Total nos.shares held (VII)=(IV)+	of (A+B+C2) (VIII)	Class x		a T V	Fotal as a % of Fotal /oting ights	Warrants) (X)	e securities (as a percentag e of diluted	of tota shares No. (a) held (b	As a % of total Number of equity share equity share held in dematerialize a polica of form (XIV) NA As a % of total Number of equity share equity share dematerialized of form (XIV) NA 118940	ze Sub- Categor Sub- y (i) Category (ii	Sub- Catego i) ry (iii)
Central Government / State	3 11094000 0	0	11034000	1.0023	11034000	0	11094000	1.0025	0	7.0025	0.0000	7 109400	50 0	0 0
(3) Government(s)														
Central Government / President of														
(a) India														
(b) State Government / Governor														
Shareholding by Companies or Bodies Corporate where Central/State Governemnt is a (c)														
Sub Total	0 0 0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0 0.0000) NA	0 0	0 0
	0 0 0	0	0	0.0000	U	U	U	0.0000	U	0.0000	0.0000) INA	0 0	0 (
(4) Non-Institutions Associate Companies / (a) Subsidiaries														
Directors and their relatives (excluding independent directors and nominee directors)														
(c) Key Managerial Personel														
(-)														
Relatives of Promoters (other than immediate relatives of promoters diclosed under Promoter and (d) Promoter Group category)														
Trusts where any person belonging to Promoter and Promoter Group category is trustee, beneficiary or author of the trust														
Investor Education and Protection														
Investor Education and Protection (f) Fund Authority	1 175500 0	0	175500	0.1033	175500	0	175500	0.1033	0	0.1033	0 0.0000	NA 17550	0 0	0 0
Resident Individuals holding nominal share capital upto Rs.2	6413 2483182 0	0			2483182			1.4620			0 0.0000			0 0
(g) lakhs	0413 2403102 0	0	2403102	1.4020	2403 162	0	2403102	1.4020	0	1.4020	0.0000	248318	DE U	0 (
Resident Individuals holding nominal share capital in excess of (h) Rs.2 lakhs	7 8682971 0	0	8682971	5.1123	8682971	0	8682971	5.1123	0	5.1123	0 0.0000	D NA 86829	71 0	0 0

No. of No. of No. of No. of Shares of the Shareholders (I) PAN (II) PAN (III) PAN (III) Pheld (IV) PAN (III) Pheld (IV)	ategory (ii) ry (iii)
Number of Voting Rights held in each class of securities (IX) No of Voting Rights held in each class of securities (IX) No of Voting Rights held in each class of securities (IX) No of Voting Rights held in each class of securities (IX) No of Shares on securities (IX) No of Shares on securities (IX) No of Shares on full paid of Full paid have shares have shared bolders (I) Partly paid shares (IV) No. of full paid paid bolders (IV) Partly paid shares (IV) No. of Shares have shares have shares held (IV) held (IV	(No of shares) ur Sub- Category (ii) ry (iii)
No. of No. of Total Screen No. of Total Nos. of Shareholding Nos. of Underlying Shareholding Nos. of Underlying Shareholders (i) PAN (ii) PAN (ii) Poreign Nos. pares (iii) Nos Resident Indians (NRIs) No. description (iii) Poreign Nos. pares (iiii) Poreign Nos. pares (iii) Poreign Nos. pares (iiii) Poreign Nos. pares (iiii) Poreign Nos. pares (iiii) Poreign Nos. pares (iiii) Poreign Nos. pares (iii) Poreign Nos.	Jb- Categategory (ii) ry (iii)
PRITHVIRAJ SAREMAL AACTP4053E 1 2700000 0 2700000 1.5897 2700000 0 2700000 1.5897 0 0 0.0000 0 0 0.0000 0 0 0.0000 0 0 0.0000 0 0 0.0000 0 0 0.0000 0 0 0.0000 0 0 0.0000 0 0.0	
NAMITA KETAN KOTHARI AACTK3210A 1 1970720 0 0 1970720 1.1603 1970720 0 1970720 1.1603 0 0.0000 NA 1970720 0 1970720 0 1970720 0 1970720 0 1970720 0 1970720 0 1970720 0 1970720 0 1970720 0 1.1603 0 0.0000 NA 1970720 0 1970720 0 1970720 0 1970720 0 1970720 0 1970720 1.1603 0 0.0000 NA 1970720 0 1970720 0 1970720 0 1970720 0 1970720 1.1603 0 0.0000 NA 1970720 0 1970720 0 1.1603 0 0.0000 NA 1970720 0 1970720 0 1.1603 0 0.0000 NA 1970720 0 1970720 1.1603 0 0.0000 NA 1970720 0 1.1603 0 1.1603 0 0.0000 NA 1970720 0 1.1603	
(i) Non Resident Indians (NRIs) 40 22932 0 0 22932 0.0135 22932 0 22932 0.0135 0 0.0000 NA 22932 0 0 0.0135 0 0 0.0000 NA 22932 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0
(i) Foreign National (k) Foreign Company / OCB (l) Bodies Corporate 43 24714185 0 0 24714185 14.5510 24714185 0 24714185 0 0 14.5510 0 0.0000 NA 24714185 0	0
(k) Foreign Company / OCB (l) Bodies Corporate 43 24714185 0 0 24714185 14.5510 24714185 0 24714185 0 14.5510 0 0.0000 NA 24714185 0	0
(I) Bodies Corporate 43 24714185 0 0 24714185 14.5510 24714185 0 24714185 0 0 14.5510 0 0.0000 NA 24714185 0	
	0
COSMOS HEIGHTS & DEVELOPERS LLP AALFC9127F 1 9799000 0 0 9799000 5.7694 9799000 0 9799000 5.7694 0 0.0000 NA 9799000 0 NARIMAN INVESTMENT	0
HOLDINGS PRIVATE LIMITED AACCN7000Q 1 7461440 0 0 7461440 0 7461440 0 7461440 0 0 7461440 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0
LIMITED AAACG2906L 1 5768790 0 0 5768790 0 5768790 0 5768790 0 3.3965 0 0.0000 NA 5768790 0	0
(m) Any Other (specify)	
Resident Individual (HUF) 63 138502 0 0 138502 0.0815 138502 0 138502 0 0.0815 0 0.0815 0 0.0000 NA 138502 0	0
Clearing Member 18 342693 0 0 342693 0.2018 342693 0 342693 0 342693 0 0 0.2018 0 0.0000 NA 342693 0	0
Sub Total 6585 36559965 0 0 36559965 21.5255 36559965 0 36559965 0 36559965 0 21.5255 0 0.0000 NA 36559965 0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) 6590 48453965 0 0 48453965 0 48453965 0 48453965 0 48453965 0 48453965 0 48453965 0 48453965 0 0 0.0000 NA 48453965 0	
Details of the shareholders acting as persons in Concert	0
SI No Name PAN Shares	0
NIL NIL	0

AUTH	IUM INVESTMENT & INFRASTRUC	TURE L	TD																
Table	IV - Statement Showing shareholdir	ng patteri	n of the No	n Promote	r - Non F	ublic share	holder as c	on 31/12/20	22										
	-								each		f secur	ghts held in rities (IX)		Total Shareholding as a % assuming full conversion	Locked	mber of d in shares (XII)	sh pled othe encu	nber of lares lged or erwise limbere (XIII)	
	Category & Name of the Shareholders (I)	PAN (II)	No of sharehol ders (III)	up equity shares	equity shares		s held	of (A+B+C2	Class x	Class v		% of Total Voting	No of shares Underlying outstandin g convertible securities (including Warrants) (X)	percentage of diluted	No. (a)		(Not appli	s held (Not applic	Number of equity shares held in dematerializ ed form (XIV)
(1)	Custodian / DR Holder	1 714 (11)	ucis (III)	ricia (iv)	ricia (v)	(V 1)	. (٧).(٧١)	, , (VIII)	Oldoo X	Oldoo y	Total	rigitis	(//)	A1B102)	140. (u)	ricia (b)	(4)	(5)	(2017)
(2)	Employee Benefit Trust/Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021																		
	Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	C	0.0000	0	0.0000	NA	NA	0

AUT	HUM INVE	STMENT & INF	RASTRUC	TURE LTD											
Anne	<u>exure</u>														
		Table V - State	ment showing	ng details of	f significant ben	eficial owne	rs as on 31/1	2/2022							
												Date of			
												creation /			
												acquition of			
												significant			
								•	exercise of right			beneficial			
		Details of the (S	BO)	Details	of the registere	ed owner	repo	reporting company, whether direct or indirect: Whether by virtue of: (III)							
		(1)			(II)				(IV)						
		PAN /			PAN /				Rights on						
		Passport No.			Passport No.				distributable		Exercise				
		in case of a			in case of a				dividend or any		of				
Sr		foreign			foreign			Voting	other	Exercise	significant				
No	Name	national	Nationality	Name	national	Nationality	Shares (%)	rights (%)	distribution (%)	of control	influence				
	ALPANA			ALPANA											
	SANJAY			SANJAY											
1	DANGI	AFNPD2805C	INDIAN	DANGI	AFNPD2805C	INDIAN	110909060	65.3001	65.3001	YES	YES	30-09-2019			
	Total						110909060	65.3001							

AUTHUM INVESTMENT & INFRASTRUCTURE LTD Table VI - Statement showing foreign ownership limits as on 31/12/2022

	Board	
	approved	Limits
	limits	utilized
As on shareholding date	100.0000	7.0164
As on 30/09/2022	100.0000	6.7499
As on 30/06/2022	100.0000	6.7439
As on 31/03/2022	100.0000	6.7458
As on 31/12/2021	100.0000	6.7440