

Format of holding of specified securities

1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008)

2. Scrip Code 011262 Name of Scrip -, Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/06/2022

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



AUTHUM INVESTMENT & INFRASTRUCTURE LTD
Table I - Summary Statement holding of specified securities as on 30/06/2022

AUTHUM INVESTMENT & INFRASTRUCTURE LTD																		
Table I - Summary Statement holding of specified securities as on 30/06/2022																		
Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg.x	Class eg.y	Total								
(A)	Promoter & Promoter group	2	119360900	0	0	119360900	70.2763	119360900	0	119360900	70.2763	0	70.2763	8451840	7.0809	0	0.0000	119360900
(B)	Public	6525	50484200	0	0	50484200	29.7237	50484200	0	50484200	29.7237	0	29.7237	0	0.0000	NA		50484200
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts																	
	Total	6527	169845100	0	0	169845100	100.0000	169845100	0	169845100	100.0000	0	100.0000	8451840	4.9762			169845100

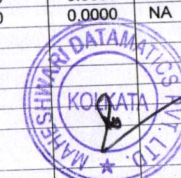


AUTHUM INVESTMENT & INFRASTRUCTURE LTD																					
Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/06/2022																					
	Category & Name of the Shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN	(II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)= (IV)+(V)+(VI)	Sharehold ing % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Shareholdin g as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
											Class x	Class y	Total			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)		
																					No of Voting Rights
(1)	Indian Individuals/Hindu undivided Family	Promoter	AFNPD2805C		1	110909060	0	0	110909060	65.3001	110909060	0	110909060	65.3001	0	65.3001	0	0.0000	0	0.0000	110909060
(a)	ALPANA SANJAY DANGI Central Government/State Government(s)														0	0.0000	0	0.0000	0	0.0000	0
(b)	Financial Institutions/Banks														0	0.0000	0	0.0000	0	0.0000	0
(c)	Any Other (specify)														0	0.0000	0	0.0000	0	0.0000	0
(d)	Bodies Corporate				1	8451840	0	0	8451840	4.9762	8451840	0	8451840	4.9762	0	4.9762	8451840	100.0000	0	0.0000	8451840
	MENTOR CAPITAL LIMITED	Promoter	AACCP7995G		1	8451840	0	0	8451840	4.9762	8451840	0	8451840	4.9762	0	4.9762	8451840	100.0000	0	0.0000	8451840
	Sub Total				2	119360900	0	0	119360900	70.2763	119360900	0	119360900	70.2763	0	70.2763	8451840	7.0809	0	0.0000	119360900
(2)	Foreign Individuals (Non-Resident Individuals/Foreign Individuals)														0	0.0000	0	0.0000	0	0.0000	0
(a)	Government														0	0.0000	0	0.0000	0	0.0000	0
(b)	Institutions														0	0.0000	0	0.0000	0	0.0000	0
(c)	Foreign Portfolio Investor														0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (specify)														0	0.0000	0	0.0000	0	0.0000	0
(e)	Sub Total				0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				2	119360900	0	0	119360900	70.2763	119360900	0	119360900	70.2763	0	70.2763	8451840	7.0809	0	0.0000	119360900



AUTHUM INVESTMENT & INFRASTRUCTURE LTD
Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/06/2022

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	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (including Warrants) (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class x	Class y	Total								
(1)	Institutions																		
(a)	Mutual Funds / UTI																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																	11444000	
(e)	Foreign Portfolio Investors		4	11444000	0	0	11444000	6.7379	11444000	0	11444000	6.7379	0	6.7379	0	0.0000	NA	8009190	
	NEXPACT LIMITED	AAFCN0208F	1	8009190	0	0	8009190	4.7156	8009190	0	8009190	4.7156	0	4.7156	0	0.0000	NA	2592850	
	CALYPSO GLOBAL INVESTMENT FUND	AAICC2725P	1	2592850	0	0	2592850	1.5266	2592850	0	2592850	1.5266	0	1.5266	0	0.0000	NA		
(f)	Financial Institutions / Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)		4	11444000	0	0	11444000	6.7379	11444000	0	11444000	6.7379	0	6.7379	0	0.0000	NA	11444000	
	Sub Total		4	11444000	0	0	11444000	6.7379	11444000	0	11444000	6.7379	0	6.7379	0	0.0000	NA		
(2)	Central Government / State Government(s) / President of India																		
(3)	Non-Institutions																		
(a)	Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		6385	2544746	0	0	2544746	1.4983	2544746	0	2544746	1.4983	0	1.4983	0	0.0000	NA	2544746	
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		7	9865318	0	0	9865318	5.8084	9865318	0	9865318	5.8084	0	5.8084	0	0.0000	NA	9865318	
	PRITHVIRAJ SAREMAL KOTHARI	AACTP4053E	1	2700000	0	0	2700000	1.5897	2700000	0	2700000	1.5897	0	1.5897	0	0.0000	NA	2700000	
	NAMITA KETAN KOTHARI	AACTK3210A	1	2605720	0	0	2605720	1.5342	2605720	0	2605720	1.5342	0	1.5342	0	0.0000	NA	2605720	
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		



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Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (including Warrants) (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class x	Class y	Total								
(e) Any Other (specify)																	175500	
Investor Education and Protection Fund Authority		1	175500	0	0	175500	0.1033	175500	0	175500	0.1033	0	0.1033	0	0.0000	NA	175500	
Bodies Corporate		43	26334737	0	0	26334737	15.5051	26334737	0	26334737	15.5051	0	15.5051	0	0.0000	NA	26334737	
COSMOS HEIGHTS & DEVELOPERS LLP	AALFC9127F	1	9799000	0	0	9799000	5.7694	9799000	0	9799000	5.7694	0	5.7694	0	0.0000	NA	9799000	
NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED	AACCN7000Q	1	7461440	0	0	7461440	4.3931	7461440	0	7461440	4.3931	0	4.3931	0	0.0000	NA	7461440	
GOGIA CAPITAL SERVICES LIMITED	AAACG2906L	1	6218790	0	0	6218790	3.6614	6218790	0	6218790	3.6614	0	3.6614	0	0.0000	NA	6218790	
Resident Individual (HUF)		48	108512	0	0	108512	0.0639	108512	0	108512	0.0639	0	0.0639	0	0.0000	NA	108512	
Clearing Member		7	1270	0	0	1270	0.0007	1270	0	1270	0.0007	0	0.0007	0	0.0000	NA	1270	
Non Resident Individual		30	10117	0	0	10117	0.0060	10117	0	10117	0.0060	0	0.0060	0	0.0000	NA	10117	
Sub Total		6521	39040200	0	0	39040200	22.9858	39040200	0	39040200	22.9858	0	22.9858	0	0.0000	NA	39040200	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		6525	50484200	0	0	50484200	29.7237	50484200	0	50484200	29.7237	0	29.7237	0	0.0000	NA	50484200	



AUTHUM INVESTMENT & INFRASTRUCTURE LTD											
Annexure											
Table V - Statement showing details of significant beneficial owners as on 30/06/2022											
Details of the (SBO)				Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:				Date of creation / acquisition of significant beneficial interest
(I)				(II)			Whether by virtue of: (III)				(IV)
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence

