

06/01/2022

To,

**Department of Corporate Relationship
BSE Ltd.**

Phiroze Jeejeebhoy Towers,
Dalal Street, Fort, Mumbai – 400 001.
Scrip Code: 539177

The Calcutta Stock Exchange Limited

7, Lyons Range, Murgighata, Dalhousie,
Kolkata, West Bengal - 700 001.

Scrip Code: 011262

Sub: Submission of Share Holding Pattern for the Quarter ended 31/12/2021

Dear Sir / Madam,

In terms of Regulation 31(1)(b) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Share Holding Pattern of the Company as on 31/12/2021 for your perusal and record.

Kindly acknowledge the receipt.

Yours faithfully

For **Authum Investment & Infrastructure Limited**

A handwritten signature in blue ink, appearing to read 'Amit Dangi', with a horizontal line underneath.

Amit Dangi

Whole Time Director & CFO

DIN: 06527044

Encl: As above.

Format of holding of specified securities

1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008)
2. Scrip Code 011262 Name of Scrip -, Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/12/2021
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

| | Particulars | Yes* | No* |
|---|--|------|-----|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | | No |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | | No |
| 4 | Whether the Listed Entity has any shares in Locked-in? | Yes | |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | | No |

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities as on 31/12/2021

| Category (I) | Category of shareholder (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | No. of Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | | No of shares Underlying outstanding convertible securities (including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|-----------|-------------------------|---|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | | | No of Voting Rights | | | Total as a % of (A+B+C) | | | No. (a) | As a % of total shares held (b) | No. (a) | As a % of total shares held (b) | |
| | | | | | | | | Class eg:x | Class eg:y | Total | | | | | | | | |
| (A) | Promoter & Promoter group | 2 | 119360900 | 0 | 0 | 119360900 | 70.2763 | 119360900 | 0 | 119360900 | 70.2763 | 0 | 70.2763 | 8451840 | 7.0809 | 0 | 0.0000 | 119360900 |
| (B) | Public | 6588 | 50484200 | 0 | 0 | 50484200 | 29.7237 | 50484200 | 0 | 50484200 | 29.7237 | 0 | 29.7237 | 0 | 0.0000 | NA | | 50484200 |
| (C) | Non Promoter - Non Public | | | | | | | | | | | | | | | NA | | |
| (C1) | Shares underlying DRs | | | | | | NA | | | | | | | | | NA | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | | | | NA | | |
| | Total | 6590 | 169845100 | 0 | 0 | 169845100 | 100.0000 | 169845100 | 0 | 169845100 | 100.0000 | 0 | 100.0000 | 8451840 | 4.9762 | | | 169845100 |

Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/12/2021

| | Category & Name of the Shareholders (I) | Entity type i.e. promoter OR promoter group entity (except promoter) | PAN | (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos.shares held (VII)=(IV)+(V)+(VI) | Shareholding % calculated as per SCRR. 1957) As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2) | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|-----|---|--|------------|------|----------------------------|--|---------------------------------------|--|---|--|---|---------|-----------|---|--|-------------------------------------|---------|---|---------|---|---------------------------------|
| | | | | | | | | | | | No of Voting Rights | | | | | Total as a % of Total Voting rights | No. (a) | As a % of total shares held (b) | No. (a) | | As a % of total shares held (b) |
| | | | | | | | | | | | Class x | Class y | Total | | | | | | | | |
| (1) | Indian | | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals/Hindu undivided | | | | 1 | 110909060 | 0 | 0 | 110909060 | 65.3001 | 110909060 | 0 | 110909060 | 65.3001 | 0 | 65.3001 | 0 | 0.0000 | 0 | 0.0000 | 110909060 |
| | ALPANA SANJAY DANGI | Promoter | AFNPD2805C | | 1 | 110909060 | 0 | 0 | 110909060 | 65.3001 | 110909060 | 0 | 110909060 | 65.3001 | 0 | 65.3001 | 0 | 0.0000 | 0 | 0.0000 | 110909060 |
| (b) | Central Government/State Government(s) | | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (c) | Financial Institutions/Banks | | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (d) | Any Other (specify) | | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Bodies Corporate | | | | 1 | 8451840 | 0 | 0 | 8451840 | 4.9762 | 8451840 | 0 | 8451840 | 4.9762 | 0 | 4.9762 | 8451840 | 100.0000 | 0 | 0.0000 | 8451840 |
| | MENTOR CAPITAL LIMITED | Promoter | AACCP7995G | | 1 | 8451840 | 0 | 0 | 8451840 | 4.9762 | 8451840 | 0 | 8451840 | 4.9762 | 0 | 4.9762 | 8451840 | 100.0000 | 0 | 0.0000 | 8451840 |
| | Sub Total | | | | 2 | 119360900 | 0 | 0 | 119360900 | 70.2763 | 119360900 | 0 | 119360900 | 70.2763 | 0 | 70.2763 | 8451840 | 7.0809 | 0 | 0.0000 | 119360900 |
| (2) | Foreign | | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals (Non-Resident Individuals/Foreign Individuals) | | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (b) | Government | | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (c) | Institutions | | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (d) | Foreign Portfolio Investor | | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (e) | Any Other (specify) | | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Sub Total | | | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | | | 2 | 119360900 | 0 | 0 | 119360900 | 70.2763 | 119360900 | 0 | 119360900 | 70.2763 | 0 | 70.2763 | 8451840 | 7.0809 | 0 | 0.0000 | 119360900 |

Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2021

| Statement showing shareholding pattern of the listed shareholder: as on 31.12.2021 | | | | | | | | | | | | | | | | | | | |
|--|---|------------|----------------------------|--|---------------------------------------|--|--|--|---|---------|----------|-------------------------------------|---|--|----------------------------------|---------------------------------|---|--|---|
| | Category & Name of the Shareholders (I) | PAN (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | | No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2) | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | | | | | No of Voting Rights | | | Total as a % of Total Voting rights | | | No. (a) | As a % of total shares held (b) | No. (Not applicable) (a) | As a % of total shares held (Not applicable) (b) | |
| | | | | | | | | | Class x | Class y | Total | | | | | | | | |
| (1) | Institutions | | | | | | | | | | | | | | | | | | |
| (a) | Mutual Funds / UTI | | | | | | | | | | | | | | | | | | |
| (b) | Venture Capital Funds | | | | | | | | | | | | | | | | | | |
| (c) | Alternate Investment Funds | | | | | | | | | | | | | | | | | | |
| (d) | Foreign Venture Capital Investors | | | | | | | | | | | | | | | | | | |
| (e) | Foreign Portfolio Investors | | 4 | 11444000 | 0 | 0 | 11444000 | 6.7379 | 11444000 | 0 | 11444000 | 6.7379 | 0 | 6.7379 | 0 | 0.0000 | NA | | 11444000 |
| | NEXPACT LIMITED | AAFCN0208F | 1 | 8009190 | 0 | 0 | 8009190 | 4.7156 | 8009190 | 0 | 8009190 | 4.7156 | 0 | 4.7156 | 0 | 0.0000 | NA | | 8009190 |
| | CALYPSO GLOBAL INVESTMENT FUND | AAICC2725P | 1 | 2592850 | 0 | 0 | 2592850 | 1.5266 | 2592850 | 0 | 2592850 | 1.5266 | 0 | 1.5266 | 0 | 0.0000 | NA | | 2592850 |
| (f) | Financial Institutions / Banks | | | | | | | | | | | | | | | | | | |
| (g) | Insurance Companies | | | | | | | | | | | | | | | | | | |
| (h) | Provident Funds / Pension Funds | | | | | | | | | | | | | | | | | | |
| (i) | Any Other (specify) | | | | | | | | | | | | | | | | | | |
| | Sub Total | | 4 | 11444000 | 0 | 0 | 11444000 | 6.7379 | 11444000 | 0 | 11444000 | 6.7379 | 0 | 6.7379 | 0 | 0.0000 | NA | | 11444000 |
| (2) | Government(s) / President of India | | | | | | | | | | | | | | | | | | |
| (3) | Non-Institutions | | | | | | | | | | | | | | | | | | |
| (a) | Individuals- | | | | | | | | | | | | | | | | | | |
| | i. Individual shareholders holding nominal share capital upto Rs.2 lakhs | | 6432 | 2579984 | 0 | 0 | 2579984 | 1.5190 | 2579984 | 0 | 2579984 | 1.5190 | 0 | 1.5190 | 0 | 0.0000 | NA | | 2579984 |
| | ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs | | 7 | 9900530 | 0 | 0 | 9900530 | 5.8292 | 9900530 | 0 | 9900530 | 5.8292 | 0 | 5.8292 | 0 | 0.0000 | NA | | 9900530 |

| AUTHUM INVESTMENT & INFRASTRUCTURE LTD | | | | | | | | | | | | | | | | | | | |
|---|--|------------|----------------------------|--|---------------------------------------|--|--|--|---|---------|----------|-------------------------------------|---|--|----------------------------------|---------------------------------|---|--|---|
| Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2021 | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | Number of Voting Rights held in each class of securities (IX) | | | | | | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | |
| | | | | | | | | | No of Voting Rights | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | |
| | Category & Name of the Shareholders (I) | PAN (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII) | Class x | Class y | Total | Total as a % of Total Voting rights | No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2) | No. (a) | As a % of total shares held (b) | No. (Not applicable) (a) | As a % of total shares held (Not applicable) (b) | Number of equity shares held in dematerialized form (XIV) |
| | PRITHVIRAJ SAREMAL KOTHARI | AACTP4053E | 1 | 2700000 | 0 | 0 | 2700000 | 1.5897 | 2700000 | 0 | 2700000 | 1.5897 | 0 | 1.5897 | 0 | 0.0000 | NA | | 2700000 |
| | NAMITA KETAN KOTHARI | AACTK3210A | 1 | 2605720 | 0 | 0 | 2605720 | 1.5342 | 2605720 | 0 | 2605720 | 1.5342 | 0 | 1.5342 | 0 | 0.0000 | NA | | 2605720 |
| (b) | NBFCs registered with RBI | | | | | | | | | | | | | | | | | | |
| (c) | Employee Trusts | | | | | | | | | | | | | | | | | | |
| (d) | Overseas Depositories (holding DRs) (balancing figure) | | | | | | | | | | | | | | | | | | |
| (e) | Any Other (specify) | | | | | | | | | | | | | | | | | | |
| | Investor Education and Protection Fund Authority | | 1 | 175500 | 0 | 0 | 175500 | 0.1033 | 175500 | 0 | 175500 | 0.1033 | 0 | 0.1033 | 0 | 0.0000 | NA | | 175500 |
| | Bodies Corporate | | 38 | 26280959 | 0 | 0 | 26280959 | 15.4735 | 26280959 | 0 | 26280959 | 15.4735 | 0 | 15.4735 | 0 | 0.0000 | NA | | 26280959 |
| | COSMOS HEIGHTS & DEVELOPERS LLP | AALFC9127F | 1 | 9799000 | 0 | 0 | 9799000 | 5.7694 | 9799000 | 0 | 9799000 | 5.7694 | 0 | 5.7694 | 0 | 0.0000 | NA | | 9799000 |
| | NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED | AACCN7000Q | 1 | 7461440 | 0 | 0 | 7461440 | 4.3931 | 7461440 | 0 | 7461440 | 4.3931 | 0 | 4.3931 | 0 | 0.0000 | NA | | 7461440 |
| | GOGIA CAPITAL SERVICES LIMITED | AAACG2906L | 1 | 6218790 | 0 | 0 | 6218790 | 3.6614 | 6218790 | 0 | 6218790 | 3.6614 | 0 | 3.6614 | 0 | 0.0000 | NA | | 6218790 |
| | Resident Individual (HUF) | | 46 | 83854 | 0 | 0 | 83854 | 0.0494 | 83854 | 0 | 83854 | 0.0494 | 0 | 0.0494 | 0 | 0.0000 | NA | | 83854 |
| | Clearing Member | | 33 | 9081 | 0 | 0 | 9081 | 0.0053 | 9081 | 0 | 9081 | 0.0053 | 0 | 0.0053 | 0 | 0.0000 | NA | | 9081 |
| | Non Resident Individual | | 27 | 10292 | 0 | 0 | 10292 | 0.0061 | 10292 | 0 | 10292 | 0.0061 | 0 | 0.0061 | 0 | 0.0000 | NA | | 10292 |
| | Sub Total | | 6584 | 39040200 | 0 | 0 | 39040200 | 22.9858 | 39040200 | 0 | 39040200 | 22.9858 | 0 | 22.9858 | 0 | 0.0000 | NA | | 39040200 |
| | Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 6588 | 50484200 | 0 | 0 | 50484200 | 29.7237 | 50484200 | 0 | 50484200 | 29.7237 | 0 | 29.7237 | 0 | 0.0000 | NA | | 50484200 |

Table Iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/12/2021

| | Category & Name of the Shareholders (I) | PAN (II) | No of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos.shares held (VII)=(IV) + (V)+(VI) | Shareholding % calculated as per SCRR. As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | | No of shares Underlying outstanding convertible securities (including Warrants) (X) | Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2) | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|-----|--|----------|--------------------------|--|---------------------------------------|--|---|--|---|---------|-------|--------|---|--|----------------------------------|---------|---|--------------------------|---|--|
| | | | | | | | | | No of Voting Rights | | | | | | As a % of Total Voting rights | No. (a) | As a % of total shares held (b) | No. (Not applicable) (a) | | As a % of total shares held (Not applicable) (b) |
| | | | | | | | | | Class x | Class y | Total | | | | | | | | | |
| (1) | Custodian / DR Holder | | | | | | | | | | | | | | | | | | | |
| (2) | Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) | | | | | | | | | | | | | | | | | | | |
| | Public Shareholding (C)=(C)(1)+(C)(2) | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA | NA | 0 | |

| | | | | | | | | | | | | |
|---|----------------------|--|-------------|---------------------------------|--|-------------|---|-------------------|--|---------------------|-----------------------------------|---|
| AUTHUM INVESTMENT & INFRASTRUCTURE LTD | | | | | | | | | | | | |
| Annexure | | | | | | | | | | | | |
| Table V - Statement showing details of significant beneficial owners as on 31/12/2021 | | | | | | | | | | | | |
| | Details of the (SBO) | | | Details of the registered owner | | | Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect: | | | | | Date of creation / acquisition of significant beneficial interest |
| | (I) | | | (II) | | | Whether by virtue of: (III) | | | | | (IV) |
| Sr No | Name | PAN / Passport No. in case of a foreign national | Nationality | Name | PAN / Passport No. in case of a foreign national | Nationality | Shares (%) | Voting rights (%) | Rights on distributable dividend or any other distribution (%) | Exercise of control | Exercise of significant influence | |
| 1 | ALPANA S DANGI | AFNPD2805C | India | ALPANA S DANGI | AFNPD2805C | India | 65.3 | 65.3000 | 65.3 | Yes | Yes | 30-09-2019 |