

Format of holding of specified securities

1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008)

2. Scrip Code 011262 Name of Scrip -, - Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/09/2021

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table I - Summary Statement holding of specified securities as on 30/09/2021

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	2	11936090	0	0	11936090	70.2763	11936090	0	11936090	70.2763	0	70.2763	845184	7.0809	0	0.0000	11936090
(B)	Public	3528	5048420	0	0	5048420	29.7237	5048420	0	5048420	29.7237	0	29.7237	0	0.0000	NA		5048420
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	3530	16984510	0	0	16984510	100.0000	16984510	0	16984510	100.0000	0	100.0000	845184	4.9762			16984510

Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 30/09/2021

	Category & Name of the Shareholders (I)	Entity type i.e. promoter OR promoter group entity (except promoter)	PAN	(II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos.shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
											No of Voting Rights						No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
											Class x	Class y	Total	Total as a % of Total Voting rights							
(1)	Indian																				
(a)	Individuals/Hindu undivided				1	11090906	0	0	11090906	65.3001	11090906	0	11090906	65.3001	0	65.3001	0	0.0000	0	0.0000	11090906
	ALPANA SANJAY DANGI	Promoter	AFNPD2805C		1	11090906	0	0	11090906	65.3001	11090906	0	11090906	65.3001	0	65.3001	0	0.0000	0	0.0000	11090906
(b)	Central Government/State Government(s)														0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions/Banks														0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (specify)														0	0.0000	0	0.0000	0	0.0000	0
	Bodies Corporate				1	845184	0	0	845184	4.9762	845184	0	845184	4.9762	0	4.9762	845184	100.0000	0	0.0000	845184
	MENTOR CAPITAL LIMITED	Promoter	AACCP7995G		1	845184	0	0	845184	4.9762	845184	0	845184	4.9762	0	4.9762	845184	100.0000	0	0.0000	845184
	Sub Total				2	11936090	0	0	11936090	70.2763	11936090	0	11936090	70.2763	0	70.2763	845184	7.0809	0	0.0000	11936090
(2)	Foreign																				
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)														0	0.0000	0	0.0000	0	0.0000	0
(b)	Government														0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions														0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor														0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (specify)														0	0.0000	0	0.0000	0	0.0000	0
	Sub Total				0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				2	11936090	0	0	11936090	70.2763	11936090	0	11936090	70.2763	0	70.2763	845184	7.0809	0	0.0000	11936090

Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2021

Statement Showing Shareholding pattern of the Company as on 30/06/2022																			
	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (Not applicable) (b)
									Class x	Class y	Total								
(1)	Institutions																		
(a)	Mutual Funds / UTI																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors		3	1144350	0	0	1144350	6.7376	1144350	0	1144350	6.7376	0	6.7376	0	0.0000	NA	1144350	
	NEXPACT LIMITED	AAFNC0208F	1	800919	0	0	800919	4.7156	800919	0	800919	4.7156	0	4.7156	0	0.0000	NA	800919	
	CALYPSO GLOBAL INVESTMENT FUND	AAICC2725P	1	259285	0	0	259285	1.5266	259285	0	259285	1.5266	0	1.5266	0	0.0000	NA	259285	
(f)	Financial Institutions / Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)																		
	Sub Total		3	1144350	0	0	1144350	6.7376	1144350	0	1144350	6.7376	0	6.7376	0	0.0000	NA	1144350	
(2)	Central Government / State Government(s) / President of India																		
(3)	Non-Institutions																		
(a)	Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		3395	260793	0	0	260793	1.5355	260793	0	260793	1.5355	0	1.5355	0	0.0000	NA	260793	
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		7	990053	0	0	990053	5.8292	990053	0	990053	5.8292	0	5.8292	0	0.0000	NA	990053	
	PRITHVIRAJ SAREMAL KOTHARI	AACTP4053E	1	270000	0	0	270000	1.5897	270000	0	270000	1.5897	0	1.5897	0	0.0000	NA	270000	
	NAMITA KETAN KOTHARI	AACTK3210A	1	260572	0	0	260572	1.5342	260572	0	260572	1.5342	0	1.5342	0	0.0000	NA	260572	

Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2021

								Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X)	Shareholdin g as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialize d form (XIV)	
	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos.share s held (VII)=(IV)+(V)+(VI)	Sharehol ding % calculate d as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Class x	Class y	Total	Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (Not applic able) (a)	As a % of total shares held (Not applica ble) (b)	
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other (specify)																		
	Investor Education and Protection Fund Authority		1	17550	0	0	17550	0.1033	17550	0	17550	0.1033	0	0.1033	0	0.0000	NA		17550
	Bodies Corporate		34	2624480	0	0	2624480	15.4522	2624480	0	2624480	15.4522	0	15.4522	0	0.0000	NA		2624480
	COSMOS HEIGHTS & DEVELOPERS LLP	AALFC9127F	1	979900	0	0	979900	5.7694	979900	0	979900	5.7694	0	5.7694	0	0.0000	NA		979900
	NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED	AACCN7000Q	1	746144	0	0	746144	4.3931	746144	0	746144	4.3931	0	4.3931	0	0.0000	NA		746144
	GOGIA CAPITAL SERVICES LIMITED	AAACG2906L	1	621879	0	0	621879	3.6614	621879	0	621879	3.6614	0	3.6614	0	0.0000	NA		621879
	Resident Individual (HUF)		43	7866	0	0	7866	0.0463	7866	0	7866	0.0463	0	0.0463	0	0.0000	NA		7866
	Clearing Member		22	1020	0	0	1020	0.0060	1020	0	1020	0.0060	0	0.0060	0	0.0000	NA		1020
	Non Resident Individual		22	2258	0	0	2258	0.0133	2258	0	2258	0.0133	0	0.0133	0	0.0000	NA		2258
	Foreign Company		1	50	0	0	50	0.0003	50	0	50	0.0003	0	0.0003	0	0.0000	NA		50
	Sub Total		3525	3904070	0	0	3904070	22.9861	3904070	0	3904070	22.9861	0	22.9861	0	0.0000	NA		3904070
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		3528	5048420	0	0	5048420	29.7237	5048420	0	5048420	29.7237	0	29.7237	0	0.0000	NA		5048420

AUTHUM INVESTMENT & INFRASTRUCTURE LTD																			
Table Iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/09/2021																			
	Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									Class x	Class y	Total	Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Custodian / DR Holder																		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
	Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

AUTHUM INVESTMENT & INFRASTRUCTURE LTD												
Annexure												
Table V - Statement showing details of significant beneficial owners as on 30/09/2021												
	Details of the (SBO)			Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
	(I)			(II)			Whether by virtue of: (III)					(IV)
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
1	ALPANA S	AFNPD2805C	India	ALPANA S	AFNPD2805C	India	65.3	65.3000	65.3	Yes	Yes	30-09-2019