## Format of holding of specified securities

- 1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319)
- 2. Scrip Code 011262 Name of Scrip -,- Class of Security Equity
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
  - a. If under 31 (1)(b) then indicate the report for Quarter ending: 17/09/2021
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?	Yes	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

<sup>\*</sup> If the Listed Entity selects the option 'No' for the questions above, the columus for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

## AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table I - Summary Statement holding of specified securities as on 17/09/2021

Table	I - Summary S	otatement	noluling of s	pecilied	securilles as	5 011 17/09/2	2021											
Categ	Category of shareholder	Nos. of	No. of fully paid up equity shares	No.of Partly paid-up equity	No. of shares underlying Depository Receipts	Total nos.share	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of	Number of Voting Rights held in eac class of securities (IX)  No of Voting Rights  Class Class Total  (A+B+6)				outstandin g convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Num Locked (:	nber of in shares XII) AS a 70 of total	oth encu	nerwise umbered	Number of equity shares held in demateriali zed form (XIV)
(A)	Promoter &	2	11936090			11936090		11936090	0	11936090	70.2763		70.2763				0.0000	
	Promoter group																	
(B)	Public	3590	5048420	0	0	5048420	29.7237	5048420	0	5048420	29.7237	0	29.7237	0	0.0000	NA		5048420
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	3592	16984510	0	0	16984510	100.0000	16984510	0	16984510	100.0000	0	100.0000	845184	4.9762			16139326

	IUM INVESTMENT & INFRASTRU																		
Table	II - Statement Showing shareholdin	g pattern of the	Promot	er and Prom	oter Grou	p as on 17/0	09/2021												
									С	lass of se	g Rights hel ecurities (I)					r of Locked			
									No o	f Voting F	Rights			Shareholding	in share	es (XII)	encum	bered (XIII)	
	Category & Name of the		of share	No. of fully paid up equity shares held	paid-up equity	Depository	Total nos.shares held (VII)=(IV)+	Sharehold ing % calculated as per SCRR. 1957) As a % of (A+B+C2)				Total as a % of Total Voting	outstanding	as a % assuming full conversion of convertible securities (as a percentage of diluted share		As a % of total shares		As a % of total shares	Number of equity shares held in dematerializ ed form
	Shareholders (I)	PAN (II)	rs (III)	(IV)	held (V)	(VI)	(V)+(VI)	(VIII)	Class x	Class y	Total	rights	capital) (X)	A+B+C2)	No. (a)	held (b)	No. (a)	held (b)	(XIV)
(1)	Indian																		
(a)	Individuals/Hindu undivided		1	11090906	0	0	11090906	65.3001	11090906	0	11090906	65.3001	0	65.3001	С	0.0000	0	0.0000	11090906
	ALPANA SANJAY DANGI	AFNPD28050	1	11090906	0	0	11090906	65.3001	11090906	0	11090906	65.3001	0	65.3001	C	0.0000	0	0.0000	11090906
(b)	Central Government/State Government(s)												0	0.0000	C	0.0000	0	0.0000	0
(c)	Financial Institutions/Banks												0	0.0000	C	0.0000	0	0.0000	0
(d)	Any Other (specify)												0	0.0000	С	0.0000	0	0.0000	0
	Bodies Corporate		1	845184	. 0	0	845184	4.9762	845184	0	845184	4.9762	2 0	4.9762	845184	100.0000	0	0.0000	0
	MENTOR CAPITAL LIMITED	AACCP79950	2 1	845184	. 0	0	845184	4.9762	845184	. 0	845184	4.9762	2 0	4 9762	845184	100.0000	0	0.0000	) 0
	Sub Total	AACOI 19930		11936090		-			11936090		11936090								
(2)	Foreign Individuals (Non-Resident																		
(a)	Individuals (Nori-Resident Individuals/Foreign Individuals)												0	0.0000	C	0.0000	0	0.0000	0
(b)	Government												0	0.0000	С	0.0000	0	0.0000	0
(c)	Institutions												0	0.0000	С	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor												0	0.0000	C	0.0000	0	0.0000	0
(e)	Any Other (specify)												0	0.0000	С	0.0000	0	0.0000	0
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	C	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group																		
	(A)=(A)(1)+(A)(2)		2	11936090	0	0	11936090	70.2763	11936090	0	11936090	70.2763	0	70.2763	845184	7.0809	0	0.0000	11090906

Γable	III - Statement Showing shareholdin	g pattern of the	Public sha	reholder as	on 17/0	9/2021													
									Number of Voting Rights h				No of shares	Shareholdin g as a % assuming full conversion of	Loc	Number of Locked in shares (XII)		nber of nares Iged or erwise mbered XIII)	
	Category & Name of the		sharehol	up equity shares	paid-up equity shares		s held (VII)=(IV)+		01	01		% of Total Voting	outstandin g convertible securities (as a percentage of diluted share	(as a percentage of diluted share capital) (XI)=(VII)+(X ) As a % of		As a % of total shares	No. (Not applic able)	As a % of total shares held (Not applica	
(1)	Shareholders (I) Institutions	PAN (II)	ders (III)	held (IV)	neid (v)	(VI)	(V)+(VI)	) (VIII)	Class x	Class y	TOtal	rights	capital) (X	) A+D+C2)	100. (a)	held (b)	(a)	ble) (b)	( <b>\\</b> IV)
(a)	Mutual Funds / UTI																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors		3	1144350	0	0	1144350	6.7376	1144350	0	1144350	6.7376	0	6.7376	0	0.0000	NA		1144350
	NEXPACT LIMITED	AAFCN0208F	1	800919	0	0	800919	4.7156	800919	0	800919	4.7156	0	4.7156	0	0.0000	NA		800919
	CALYPSO GLOBAL INVESTMENT FUND	AAICC2725P	1	259285	0	0	259285	1.5266	259285	0	259285	1.5266	0	1.5266	0	0.0000	NA		259285
(f)	Financial Institutions / Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)																		
(2)	Sub Total Government(s) / President of India		3	1144350	0	0	1144350	6.7376	1144350	0	1144350	6.7376	0	6.7376	0	0.0000	NA		1144350
	Non-Institutions																		
(3) (a)	Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		3460	262308	0	0	262308	1 5/1/4	262308	0	262308	1.5444	0	1.5444	0	0.0000	NΔ		262308
			3400	202300	0	U	202000	1.0444	202000	0	202000	1.0444		1.0444	U	0.0000	INA		202300
	ii.Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		7	990053	0	0	990053		990053		990053	5.8292	0	5.8292	0	0.0000			99005

AUTH	HUM INVESTMENT & INFRASTRUC	TURE LTD																	
Table	III - Statement Showing shareholdir	ng pattern of the	Public sha	reholder as	on 17/0	9/2021													
									C	lass of s	ecurities	neld in each (IX)	No of	Shareholdin g as a % assuming full conversion	Loc	nber of			1
	Category & Name of the Shareholders (I) PRITHVIRAJ SAREMAL	PAN (II)	Nos. of sharehol	No. of fully paid up equity shares held (IV)	paid-up equity shares	Nos. of shares underlying Depository Receipts (VI)		Sharehol ding % calculate d as per SCRR. 1957) As a % of (A+B+C2) (VIII)		Voting F			outstandin g	(as a percentage of diluted share capital) (XI)=(VII)+(X ) As a % of		As a % of total shares held (b)	No. (Not applic able)	of total shares held	demateri alized form
	KOTHARI	AACTP4053E	1	270000	0	0	270000	1 5897	270000	0	270000	1.5897	0	1.5897	0	0.0000	NA		270000
	NAMITA KETAN KOTHARI	AACTK3210A	1	260572		-			260572	_	260572		_		_	0.0000			260572
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other (specify)																		
	Investor Education and Protection Fund Authority		1	17550	0	0	17550	0.1033	17550	0	17550	0.1033	0	0.1033	0	0.0000	NA		17550
	Bodies Corporate		30	2622969	0	0	2622969	15.4433	2622969	0	2622969	15.4433	0	15.4433	0	0.0000	NA		2622969
	COSMOS HEIGHTS & DEVELOPERS LLP	AALFC9127F	1	979900	0	0	979900	5.7694	979900	0	979900	5.7694	0	5.7694	0	0.0000	NA		979900
	NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED GOGIA CAPITAL SERVICES	AACCN7000Q	1	746144	0	0	746144	4.3931	746144	0	746144	4.3931	0	4.3931	0	0.0000	NA		746144
	LIMITED	AAACG2906L	1	621879	0	0	621879	3.6614	621879	0	621879	3.6614	0	3.6614	0	0.0000	NA		621879
	Resident Individual (HUF)		38	7407	0	0	7407	0.0436	7407	0	7407	0.0436	0	0.0436	0	0.0000	NA		7407
	Clearing Member		29	1483	0	0	1483	0.0087	1483	0	1483	0.0087	0	0.0087	0	0.0000	NA		1483
	Non Resident Individual		21	2250	0	0	2250	0.0132	2250	0	2250	0.0132	0	0.0132	0	0.0000	NA		2250
	Foreign Company		1	50	0	0	50	0.0003	50	0	50	0.0003	0	0.0003	0	0.0000	NA		50
	Sub Total		3587	3904070	0	0	3904070	22.9861	3904070	0	3904070	22.9861	0	22.9861	0	0.0000	NA		3904070
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		3590	5048420	0	0	5048420	29.7237	5048420	0	5048420	29.7237	0	29.7237	0	0.0000	NA		5048420

AUTH	UM INVESTMENT & INFRASTRU	JCTURE	LTD															
	lv - Statement Showing sharehold			Non Promo	oter - Non	Public shar	eholder as	on 17/09/2	021									
									Number of Voti in each class of No of Voting R	secui			Total Shareholding	Locked	nber of in shares XII)	sh pled other	nber of nares Iged or erwise umbere (XIII)	
	Category & Name of the Shareholders (I)	PAN (II)	shareho Iders	No. of fully paid up equity shares held (IV)	equity shares	Depository Receipts	s held	Sharehol ding % calculate d as per SCRR. 1957) As a % of (A+B+C2) (VIII)	Class x Class y	Total	of Total Voting	outstanding convertible	a percentage	No. (a)	shares	appl cabl e)	share s held i (Not applic	Number of equity shares held in dematerializ ed form (XIV)
(1)	Custodian / DR Holder	(,	(***)	(11)	(1)	( )	(1) (11)	, ( ,	,		3	(/		,				( )
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
	Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0 0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

AUTH	UM INVEST	MENT & INFRAS	TRUCTURI	ELTD								
Annex	ure											
		Table V - Statem	ent showing	details of	significant benef	icial owners	as on 17/09	9/2021				
							Details o	f holding / exercise	e of right of the SE	3O in the r	eporting	Date of creation / acquition of significant beneficial
		etails of the (SBC	))	Details	s of the registered	d owner			interest			
		(I)	,		(II)			Whethe	r by virtue of: (III)			(IV)
Sr No.		PAN / Passport No. in case of a foreign national	Nationality		PAN / Passport No. in case of a		Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution		Exercise of significant influence	
SI INO			ivationality	ļ	noreign national	ivationality	Silaies (70)	voling rights (%)	( /0)	or control	iiiiiueiice	
1	ALPANA S DANGI	AFNPD2805C	Indian	ALPANA S DANGI	AFNPD2805C	Indian	65.3	65.3000	65.3	Yes	Yes	30-09-2019