

Format of holding of specified securities

1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC3190)

2. Scrip Code 011262 Name of Scrip -, - Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a. If under 31 (1)(b) then indicate the report for Quarter ending: 17/09/2021

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

| | Particulars | Yes* | No* |
|---|--|------|-----|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | | No |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | | No |
| 4 | Whether the Listed Entity has any shares in Locked-in? | Yes | |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | | No |

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table I - Summary Statement holding of specified securities as on 17/09/2021

| Category (I) | Category of shareholder (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | No. of Partly paid-up equity shares held (V) | No. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV) + (V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | | No of shares Underlying outstanding convertible securities (including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------|---|---|----------------------------------|------------------------|---|------------------------|---|
| | | | | | | | | No of Voting Rights | | | Total as a % of (A+B+C) | | | No. (a) | As a % of total shares | No. (a) | As a % of total shares | |
| | | | | | | | | Class equity | Class debt | Total | | | | | | | | |
| (A) | Promoter & Promoter group | 2 | 11936090 | 0 | 0 | 11936090 | 70.2763 | 11936090 | 0 | 11936090 | 70.2763 | 0 | 70.2763 | 845184 | 7.0809 | 0 | 0.0000 | 11090906 |
| (B) | Public | 3590 | 5048420 | 0 | 0 | 5048420 | 29.7237 | 5048420 | 0 | 5048420 | 29.7237 | 0 | 29.7237 | 0 | 0.0000 | NA | | 5048420 |
| (C) | Non Promoter - Non Public | | | | | | | | | | | | | | | NA | | |
| (C1) | Shares underlying DRs | | | | | | NA | | | | | | | | | NA | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | | | | NA | | |
| | Total | 3592 | 16984510 | 0 | 0 | 16984510 | 100.0000 | 16984510 | 0 | 16984510 | 100.0000 | 0 | 100.0000 | 845184 | 4.9762 | | | 16139326 |

Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 17/09/2021

| | | | | | | | | | Number of Voting Rights held in each class of securities (IX) | | | | | | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | | | |
|-----|---|------------|------|-----------------------------|--|---------------------------------------|--|---|---|----------|---|---------|----------|-------------------------------------|---|--|---|---------------------------------|---------|---------------------------------|--|
| | | | | | | | | | No of Voting Rights | | | | | | | | | | | | |
| | Category & Name of the Shareholders (I) | PAN | (II) | Nos. of share holders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos.shares held (VII)=(IV)+(V)+(VI) | Sharehold ing % calculated as per SCRR. 1957) As a % of (A+B+C2) (VIII) | Class | x | Class y | Total | Total as a % of Total Voting rights | No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2) | No. (a) | As a % of total shares held (b) | No. (a) | As a % of total shares held (b) | Number of equity shares held in dematerializ ed form (XIV) |
| (1) | Indian | | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals/Hindu undivided | | | 1 | 11090906 | 0 | 0 | 11090906 | 65.3001 | 11090906 | | 0 | 11090906 | 65.3001 | 0 | 65.3001 | 0 | 0.0000 | 0 | 0.0000 | 11090906 |
| | ALPANA SANJAY DANGI | AFNPD2805C | | 1 | 11090906 | 0 | 0 | 11090906 | 65.3001 | 11090906 | | 0 | 11090906 | 65.3001 | 0 | 65.3001 | 0 | 0.0000 | 0 | 0.0000 | 11090906 |
| (b) | Central Government/State Government(s) | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | |
| (c) | Financial Institutions/Banks | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | |
| (d) | Any Other (specify) | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | |
| | Bodies Corporate | | | 1 | 845184 | 0 | 0 | 845184 | 4.9762 | 845184 | | 0 | 845184 | 4.9762 | 0 | 4.9762 | 845184 | 100.0000 | 0 | 0.0000 | 0 |
| | MENTOR CAPITAL LIMITED | AACCP7995G | | 1 | 845184 | 0 | 0 | 845184 | 4.9762 | 845184 | | 0 | 845184 | 4.9762 | 0 | 4.9762 | 845184 | 100.0000 | 0 | 0.0000 | 0 |
| | Sub Total | | | 2 | 11936090 | 0 | 0 | 11936090 | 70.2763 | 11936090 | | 0 | 11936090 | 70.2763 | 0 | 70.2763 | 845184 | 7.0809 | 0 | 0.0000 | 11090906 |
| (2) | Foreign | | | | | | | | | | | | | | | | | | | | |
| (a) | Individuals (Non-Resident Individuals/Foreign Individuals) | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | |
| (b) | Government | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | |
| (c) | Institutions | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | |
| (d) | Foreign Portfolio Investor | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | |
| (e) | Any Other (specify) | | | | | | | | | | | | | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | |
| | Sub Total | | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | | 2 | 11936090 | 0 | 0 | 11936090 | 70.2763 | 11936090 | | 0 | 11936090 | 70.2763 | 0 | 70.2763 | 845184 | 7.0809 | 0 | 0.0000 | 11090906 |

Table III - Statement Showing shareholding pattern of the Public shareholder as on 17/09/2021

Table III - Statement Showing shareholding pattern of the Public shareholder as on 17/09/2021

| | Category & Name of the Shareholders (I) | PAN (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | | No of shares Underlying outstanding convertible securities (as a percentage of diluted share capital) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2) | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | | Number of equity shares held in dematerialized form (XIV) |
|-----|--|------------|----------------------------|--|---------------------------------------|--|--|--|---|---------|---------|-------------------------------------|---|--|----------------------------------|--------|---|---------------------------------|--------------------------|---|
| | | | | | | | | | No of Voting Rights | | | Total as a % of Total Voting rights | | | | | No. (a) | As a % of total shares held (b) | No. (Not applicable) (a) | |
| | | | | | | | | | Class x | Class y | Total | | | | | | | | | |
| | PRITHVIRAJ SAREMAL KOTHARI | AACTP4053E | 1 | 270000 | 0 | 0 | 270000 | 1.5897 | 270000 | 0 | 270000 | 1.5897 | 0 | 1.5897 | 0 | 0.0000 | NA | | 270000 | |
| | NAMITA KETAN KOTHARI | AACTK3210A | 1 | 260572 | 0 | 0 | 260572 | 1.5342 | 260572 | 0 | 260572 | 1.5342 | 0 | 1.5342 | 0 | 0.0000 | NA | | 260572 | |
| (b) | NBFCs registered with RBI | | | | | | | | | | | | | | | | | | | |
| (c) | Employee Trusts | | | | | | | | | | | | | | | | | | | |
| (d) | Overseas Depositories (holding DRs) (balancing figure) | | | | | | | | | | | | | | | | | | | |
| (e) | Any Other (specify) | | | | | | | | | | | | | | | | | | | |
| | Investor Education and Protection Fund Authority | | 1 | 17550 | 0 | 0 | 17550 | 0.1033 | 17550 | 0 | 17550 | 0.1033 | 0 | 0.1033 | 0 | 0.0000 | NA | | 17550 | |
| | Bodies Corporate | | 30 | 2622969 | 0 | 0 | 2622969 | 15.4433 | 2622969 | 0 | 2622969 | 15.4433 | 0 | 15.4433 | 0 | 0.0000 | NA | | 2622969 | |
| | COSMOS HEIGHTS & DEVELOPERS LLP | AALFC9127F | 1 | 979900 | 0 | 0 | 979900 | 5.7694 | 979900 | 0 | 979900 | 5.7694 | 0 | 5.7694 | 0 | 0.0000 | NA | | 979900 | |
| | NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED | AACCN7000Q | 1 | 746144 | 0 | 0 | 746144 | 4.3931 | 746144 | 0 | 746144 | 4.3931 | 0 | 4.3931 | 0 | 0.0000 | NA | | 746144 | |
| | GOGIA CAPITAL SERVICES LIMITED | AAACG2906L | 1 | 621879 | 0 | 0 | 621879 | 3.6614 | 621879 | 0 | 621879 | 3.6614 | 0 | 3.6614 | 0 | 0.0000 | NA | | 621879 | |
| | Resident Individual (HUF) | | 38 | 7407 | 0 | 0 | 7407 | 0.0436 | 7407 | 0 | 7407 | 0.0436 | 0 | 0.0436 | 0 | 0.0000 | NA | | 7407 | |
| | Clearing Member | | 29 | 1483 | 0 | 0 | 1483 | 0.0087 | 1483 | 0 | 1483 | 0.0087 | 0 | 0.0087 | 0 | 0.0000 | NA | | 1483 | |
| | Non Resident Individual | | 21 | 2250 | 0 | 0 | 2250 | 0.0132 | 2250 | 0 | 2250 | 0.0132 | 0 | 0.0132 | 0 | 0.0000 | NA | | 2250 | |
| | Foreign Company | | 1 | 50 | 0 | 0 | 50 | 0.0003 | 50 | 0 | 50 | 0.0003 | 0 | 0.0003 | 0 | 0.0000 | NA | | 50 | |
| | Sub Total | | 3587 | 3904070 | 0 | 0 | 3904070 | 22.9861 | 3904070 | 0 | 3904070 | 22.9861 | 0 | 22.9861 | 0 | 0.0000 | NA | | 3904070 | |
| | Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 3590 | 5048420 | 0 | 0 | 5048420 | 29.7237 | 5048420 | 0 | 5048420 | 29.7237 | 0 | 29.7237 | 0 | 0.0000 | NA | | 5048420 | |

Table Iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 17/09/2021

| | Category & Name of the Shareholders (I) | PAN (II) | No of shareholders (III) | No. of fully paid up equity shares held (IV) | Partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipts (VI) | Total nos. shares held (VII)=(IV) + (V)+(VI) | Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | | No of shares Underlying outstanding convertible securities (including Warrants) (X) | Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2) | Number of Locked in shares (XII) | | Number of shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | | |
|-----|--|----------|--------------------------|--|---------------------------------------|--|--|--|---|---------|-------|--------|---|--|----------------------------------|--------|---|----|---|---|--|
| | | | | | | | | | No of Voting Rights | | | | | | Number of | | Locked in shares (XII) | | | Number of shares pledged or otherwise encumbered (XIII) | |
| | | | | | | | | | Class x | Class y | Total | rights | | | | | | | | | |
| (1) | Custodian / DR Holder | | | | | | | | | | | | | | | | | | | | |
| (2) | Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) | | | | | | | | | | | | | | | | | | | | |
| | Total Non Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2) | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | NA | NA | 0 | | |

| | | | | | | | | | | | | |
|---|----------------------|--|-------------|---------------------------------|--|-------------|---|-------------------|--|---------------------|-----------------------------------|---|
| AUTHUM INVESTMENT & INFRASTRUCTURE LTD | | | | | | | | | | | | |
| Annexure | | | | | | | | | | | | |
| Table V - Statement showing details of significant beneficial owners as on 17/09/2021 | | | | | | | | | | | | |
| | Details of the (SBO) | | | Details of the registered owner | | | Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect: | | | | | Date of creation / acquisition of significant beneficial interest |
| | (I) | | | (II) | | | Whether by virtue of: (III) | | | | | (IV) |
| Sr No | Name | PAN / Passport No. in case of a foreign national | Nationality | Name | PAN / Passport No. in case of a foreign national | Nationality | Shares (%) | Voting rights (%) | Rights on distributable dividend or any other distribution (%) | Exercise of control | Exercise of significant influence | |
| 1 | ALPANA S DANGI | AFNPD2805C | Indian | ALPANA S DANGI | AFNPD2805C | Indian | 65.3 | 65.3000 | 65.3 | Yes | Yes | 30-09-2019 |