## Format of holding of specified securities

- 1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008)

- Scrip Code 011262 Name of Scrip -,- Class of Security Equity
  Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
  a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/09/2019
- b. If under 31(1)(c) then indicate date of allotment/extinguishment

4 Declaration	
on∶ The l	
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of in	
formation:- Yes*	
Z	

5 Whether any shares held by promoters are pleage of differential below:	4 Whether the Listed Entity has any shares in Locked-III:	3 Whether the Listed Entity has any shares against which depository receipts	2 Whether the Listed Entity has issued any Convenione Securios of Translation receipts are issued?	1 Whether the Listed Entity has issued any painty painty painty painty?	Particulars Particulars	4. Declaration: The Listed entity is required to submit the following declaration:
						Yes*
	No	No	N <sub>O</sub>	S	N <sub>o</sub>	No <sub>*</sub>

- promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by \* If the Listed Entity selects the option 'No' for the questions above, the columus for the partly paid up shares, Outstanding there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.
- 5. The tabular format for disclosure of holding of specified securities is as follows:-



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Category of Nos. of paid up equity
Categ shareholder shareholde shares held
ony (1) (II) rs (III) (IV) AUTHUM INVESTMENT & INFRASTRUCTURE LTD
Table I - Summary Statement holding of specified securities as on 30/09/2019 Non Promoter -Employee Trusts group Total Public Promoter Shares held DRs underlying Shares Non Public 759 759 11528090 11528090 No. of Partly underlying Ty paid-up equity Depository shares held Receipts (VI) nos.shares held (VII)=(IV)+(VI) 11528090 11528090 Shareholding
as a % of total
no. of shares
(calculated as
per SCRR,
1957)
I (VIII)
As a % of
(A+B+C2) ×. 100.0000 100.0000 Number of Voting Rights held in each class of securities (IX)

No of Voting Rights

Class eg:x | Class eg:y | Total | Total as 11528090 11528090 11528090 11528090 100.0000 Total as a % of 100.0000 (A+B+C) securities (including Warrants) No of shares
Underlying outstanding convertible capital) (XI)=(VII)+(X) As a % of (A+B+C2) No. (a) Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share 100.0000 100.0000 Number of Locked in shares (XII) As a % of total shares held (b) 0.0000 Number of shares pledged or otherwise encumbered (XIII) No. (a) Χ× N A Ϋ́ Z A shares held (b) total As a % of ed form (XIV) 5 Number of equity dematerializ shares held 11528090 11528090

(C1)

0 (B)

(C2)



		(e)	(d)	(c)	(b)	(a)	(2)		(d)	(c)	(b)	(a)	<u>(1</u>		AUTH
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	Sub Total	Any Other (specify)	Foreign Portfolio Investor	Institutions	Government	Individuals (Non-Resident Individuals/Foreign Individuals)	Foreign	Sub Total	Any Other (specify)	Financial Institutions/Banks	Central Government/State Government(s)	Individuals/Hindu undivided Family	Indian	Category & Name of the Shareholders (i)	AUTHUM INVESTMENT & INFRASTRUCTURE LTD
v														PAN (II)	TURE LTD g pattern of the
															Promoter and
0	0							0						No. of fully paid up hos. of equity sharehold shares held ers (III)	Promoter Gro
0	0							0							oup as on 30/0
0	0							0						Nos. of shares underlying Partly paid-up Depository equify shares Receipts held (V)	9/2019
0	0							0						Total nos.shares held (VI)=(IV)+ (V)+(VI)	
0 0.0000	0 0.0000							0 0.0000						Sharehold ing % calculated as per SCRR. 1957) As a % of (A+B+C2)	
0	0							0							
0	0							0						of Voting Rights held securities (IX) No of Voting Rights  Class y Total	
0 0.0000	0 0.0000							0 0.0000						Number of Voting Rights held in each class of securities (IX)  No of Voting Rights  Total as a % of Total Voting Class x Class y Total voting Rights	
000	000							00						of  No of shares Underlying outstanding outstanding securities (including Warrants) (X)	
0.0000	0.0000							0.0000			1				
														Shareholdin yasa % assuming full shares (XIII) conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X (XI)=(VII)+(X (XI)=(VII)+(X (XI)=(VII)+(X (XI)=(VII)+(X (XI)=(VII)+(X (XI)=(VII)+(X (XI)=(VII)+(X (XI)=(VII)+(X (XI)=(VIII)+(X (XI)=(VIII)+(X (XI)=(VIII)+(X (XI)=(VIII)+(X (XI)=(VIII)+(X (XI)=(VIII)+(X (XI)=(VIII)+(X (XI)=(VIII)+(X (XI)=(XI)=(XI)=(XI)=(XI)=(XI)=(XI)=(XI)=	
0 65535.0000	0 0.0000							0.0000						ocked in As a % of total shares	
	0							0				5		Number of shares pledged or otherwise encumbered (XIII)  As a % of total shares held (b)	
0 #########	0.0000							0.0000						of see	
0	0							0						Number of equity ishares held in demateriali zed form (XIV)	

a	(d)	(0)	(b)				(a)	(2)		9	(h)	(9)	Э	(e)	(d)	(c)	(b)	(a)	Table (1)
Investor Education and Protection Fund Authority	Overseas Depositones (notaing DRs) (balancing figure)  Any Other (specify)	Employee Trusts	NBFCs registered with RBI	ALPANA S DANGI RAKESH S KATHOTIA	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs	Non-Institutions Individuals-	Central Government / State Government(s) / President of India	Sub Total	Any Other (specify)	Provident Funds / Pension Funds	Insurance Companies	Financial Institutions / Banks	Foreign Portfolio Investors	Foreign Venture Capital Investors	Alternate Investment Funds	Venture Capital Funds	Mutual Funds / UTI	Table III. Statement Showing shareholding pattern of the Public shareholder as on 30/09/2019  No. of fully Partly paid up dequity up equity up equity category & Name of the Sharehold shares held shares Shareholders (I) PAN (II) ers (III) (IV) held (V) Institutions
				AFNPD2805C AADHR5907E															pattern of the Put
						723			0										blic shareh
1 17550				8615906 1 155000	9260931	3 241706			0										No. of fully paid up paid up shares held (IV)
																			0/09/2019 Partly paid up equity shares held (V)
0				00	0	0			0 0										0/09/2019  Nos. of shares Partly paid-underlying up equity Depository Shares Necepits Receipts held (V) (VI)
0 17550				0 8615906 0 155000	0 9260931	0 241706													Total nos.shares held (VII)=(IV)+ (V)+(VI)
0.1522				6 74.7384 0 1.3445	1 80.3336	6 2.0967			0 0.0000										Sharehol ding % calculate d as per SCRR. 1957) As a % of (A+B+C2) (VIII)
17550				8615906 155000	9260931	241706			0										Number of Voting Rights held in each class of securities (IX)  No of Voting Rights  Total as % of Tot Voting Class x  Class y  Total ights
0				00	0	0			0										r of Voting Rights held securifies (IX) No of Voting Rights  Class y Total
17550				8615906 155000	9260931	241706			0										held in each ss (IX)
0.1522		A STATE OF S		74.7384 1.3445	80.3336	2.0967			0.0000			1500 1500	esh	War					<u>a</u> a
						0						4			etampa/	CHEROL			No of shares Underlying outstanding convertible securities (including Warrants)
0 0.1522				0 74.7384 0 1.3445	0 80.3336	2.0967			0.0000				1	14					Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(XI) As a % of A+B+C2)
0				0 0	0	7 0													Number of Locked in shares (XII)  As a % of total shares  No. (a) held (b)
0.0000				0.0000	0.0000	0.0000			0.0000	0000									<u>u</u>
Z				ZZZ		NA NA			3	Z .									Number of shares pledged or otherwise encumbered (XIII)  As a % of total No. shares (Not held applic (Not able) applica (a) bie) (b)
17550				155000	9260931	241706				0					1				% Number % Number s sheld in demateria a lized form b) (XIV)



5 G	St	Z	Q	ΗK	<b>⋤</b> ≦	두 교	단	Во	0	Table III - S	AUTHUM I
Total Public Shareholding	Sub Total	Non Resident Individual	Clearing Member	NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED	VIDISA TEXTILES PRIVATE	REALSTEP VINIMAY PRIVATE	KHAZANA TRADELINKS PVT LTD	Bodies Corporate	Ollaiei Oldei o (i)	Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2019  No. of fully Partly paic Partly	AUTHUM INVESTMENT & INFRASTRUCTURE LTD
				AACCN7000Q	AAECV8954D	AAHCR0289G	AABCK2127L		1 7 11 7 (11)	pattern of the Pu	TURE LTD
759	759	2	4	_	_		_	18	2	iblic sharet	
11528090	11528090	1806	64171	164004	210000	420000	1085000	1941920	200000	blic shareholder as on 3	
0	0	0	0	0	0	0	0	c	5		
	0	0	0	0	0	0	0		2	-	
0 11528090		1806	64171	164004	210000	420000	1085000	1941920		Total nos.shares (VIII)=(IV)+	
11528090 100.0000	11528090 100.0000	0.0157	0.5566	1.4226	1.8216	3.6433	9.4118			Sharehol ding % dacalculate d SCRR. 1957) As a % of (A+B+C2 (VIII)	
11528090	11528090	1806	64171	164004	210000	420000	1085000			0	
0	0	0	0	0	0	0	0		0	r of Voting Rights held securifies (IX) No of Voting Rights  Class y Total	
11528090	11528090	1806	64171	164004	210000	420000	1085000		1941926	x) X)	
11528090 100.0000	11528090 100.0000	0.0157	0.5566	1.4226	1.8216	3.6433	9.4118		16.8452	h class of Total as a % of Total Voting rights	
						0	0			No of shares Underlying outstanding convertible Total as a securities % of Total (including Voting Warrants)	
0 100.0000	0 100.0000	0 0.0157	0 0.5566	0 1.4226	0 1.8216	3.6433	9.4118		16.8452	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=(VII)+(X) As a % of A+B+C2)	
0	0	0	0	0	0	0	0		0	Number o	
0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000		0.0000	Number of Locked in encury control (Not total applic shares able)  No. (a) Residue (Not total applic shares able)	
NA NA	Z Z	NA	N N	N N	N	NA	Z N		N N	Number o shares pledged o otherwises encumbers (XIII)  As a of toto No. sharn (No. held able) appli (a) ble) the shares (a) ble) the shares (a) ble) the shares (b) s	
										Number of shares pledged or otherwise encumbered (XIII)  As a % Number of total of equity vo. shares shares shares psplic (Not demate bale) applica lized for (a) ble) (b) (XIV)	
11528090	11528090	1806	64171	164004	210000	420000	1085000		1941926	Number of shares pledged or otherwise encumbered (XIII)  As a % Number of total of equity vict held in applic (Not held in applic (Not applic alized form (a) bie) (b) (XIV)	



(2)	(1)					AUTHU Table iv
Benefit) Regulations, 2014)  Total Non Promoter - Non Public	Shareholders (I) Custodian / DR Holder Employee Benefit Trust (under	Category & Name of the				AUTHUM INVESTMENT & INFRASTRUCTURE LTD  Table ly - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/09/2019
	PAN (II)					DRE LTD attern of the
0	ers (III)	No of sharehold				Non Promote
0	(iV)	of fully up y es held				r - Non Public
0	1 1 1	Partly paid- up equity				shareholder
0	1 1 1	Partly paid- underlying nos.shares Receipts (NI)=(NY)+ (A+B+C)  Receipts (				as on 30/09/2
0		d as per SCRR. Total 1957) nos.share As a % Sheld Of (VII)=(IV)+ (A+B+C2)	Sh			019
0.0000		22	Sharehol ding %			
0		Class x Clas		No of Vot	imher of Votino	
0		Class y Total		securities (IX) No of Voting Rights	Number of Voting Rights held in each class of	
0 0.0000		Underlying outstandir convertible Total as a securities % of Total (including Voting Warrants)  (X)			each class of	
0		Underlying outstanding convertible rotal as a securities % of Total (including Voting Warrants)	No of shares			
0.0000		Underlying securities (as outstanding a percentage convertible of diluted securities share capital) (including (X1)=(V11)+(X) Warrants) As a % of (X) A+B+C2)	as a % assuming full conversion of convertible	Total Shareholding		
0		No. (a)		shares (XII)		
1 1		No. (Not appli total cabl shares e) held (b)				Z
0.0000 NA NA		No. s equity (Not held shares held As a % of appli (Not in cabl applic dematerializ shares e) able) ed form held (b) (a) (b) (XIV)	As a % of total % of where Number of	d (XIII)	pledged or otherwise	Number of
0		equity shares held in dematerializ ed form (XIV)	mber of			

	<u> </u>	Sr No		-										Annexure	AUTHU
		Name												la	M INVESTMEN
		foreign national	No. in case of a	PAN / Passport		()	Details of the (SBU)							Table V - Statement showing details of significant beneficial owners as on 30/09/2019	AUTHUM INVESTMENT & INFRASTRUCTURE LTD
		Nationality												ent showing de	RE LTD
	DANGI	NAI DANIA C	2				Details	Detaile						tails of significar	
	AFNPD2805C		No. in case of a Nationality	PAN / Passport		(11)	Of the regional	Details of the registered owner						nt beneficial owne	
			Nationality					owner						rs as on 30/09	
8615906	8615906		Shares (%)						Details o					/2019	
/4./384	74.7384		Voting rights (%)				Whethe	company, wh	of holding / exercis						
-			(%)	dividend or any of other distribution   Exercise   significant	distributable	Rights on	Whether by virtue of: (III)	company, whether direct or indirect:	ils of holding / exercise of right of the SBO in the reporting						
			of control influence	Exercise signi	Exercise			rect:	O in the reporting						
			ence	ficant	cise		(IV)	Intere		significant	acquition of	creation /	Date of		



<b>AUTHUM INV</b>	AUTHUM INVESTMENT & INFRASTRUCTURE LTD												
Shareholding													
	As per Fo	Asp	oer PAN										
Form	No of Records	No of Shares	No of Records	No of Shares									
PHYSICAL	0	0	0	0									
NSDL	274	2088558	265	2088558									
CDSL	530	9439532	518	9439532									
TOTAL	804	11528090	783	11528090									

