Format of holding of specified securities

- 1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008
- 2. Scrip Code 011262 Name of Scrip -,- Class of Security Equity
- 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/12/2019
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment
- 4. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

^{*} If the Listed Entity selects the option 'No' for the questions above, the columus for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table I - Summary Statement holding of specified securities as on 31/12/2019

	Table I - Summary Statement holding of specified securities as on 31/12/2019 Categ Category of Nos. o																	
Categ	Category of	Nos. of	No. of fully	No.of	No. of	Total	Shareholding	Number	of Votir	ng Rights h	eld in each	No of	Shareholding as	Nun	nber of	Number	of shares	Number of
ory (I)	shareholder	sharehol	paid up	Partly	shares	nos.share	as a % of	с	lass of	securities (IX)	shares	a % assuming	Locked	l in shares	pled	ged or	equity
	(II)	ders (III)	equity	paid-up	underlying	s held	total no. of						full conversion	(XII)	othe	rwise	shares
			shares	equity	Depository	(VII)=(IV)+	shares						of convertible			encumb	ered (XIII)	held in
			held (IV)	shares	Receipts	(V)+(VI)	(calculated					convertible	securities (as a					dematerial
				held (V)	(VI)		as per					securities	percentage of					ized form
							SCRR,					(including	diluted share					(XIV)
							1957)	No of	Voting	Rights	Total as a	Warrants)	capital)	No. (a)	As a % of	No. (a)	As a %	1
							(VIII)	Class	Class		% of	(X)	(XI)=(VII)+(X)		total	, ,	of total	
							As a % of	eg:x	eg:y		(A+B+C)		As a % of		shares		shares	
							(A+B+C2)	•			,		(A+B+C2)		held (b)		held (b)	
(A)	Promoter &	1	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	0	74.7384	0	0.0000	0	0.0000	8615906
` ′	Promoter																	
	group																	
(B)	Public	727	2912184	0	0	2912184	25.2616	2912184	0	2912184	25.2616	0	25.2616	0	0.0000	NA		2912184
(B) (C)	Non															NA		
	Promoter -																	
	Non Public																	
(C1)	Shares						NA									NA		
	underlying																	
	DRs																	
(C2)	Shares										·					NA		
	held by																	
	Employee																	
	Trusts																	
	Total	728	11528090	0	0	11528090	100.0000	11528090	0	11528090	100.0000	0	100.0000	0				11528090

	JM INVESTMENT & INFRASTRUCTURE LTD I - Statement Showing shareholding pattern of the Promot	er and Promotor	r Group ac 4	n 31/12/20	110												
able	Category & Name of the Shareholders (I)			No. of	Partly paid-up	Nos. of shares underlying	Total nos.shares		С	of Voting Rights he lass of securities (I f Voting Rights	X)	shares	Shareholding as a % assuming full	Locked in	Number shares or other	pledged	
			` ′	shares held (IV)	shares		(VII)=(IV)+			Class y Total	Total as a % of Total Voting rights	outstandin g convertible securities (including		No. (a) As a % of total shares held (b)	No. (a)	of total	
(1)	Indian																
(a)	Individuals/Hindu undivided Family		1	8615906		0 0	8615906	74.7384	8615906	0 8615906	74.7384	0	74.7384	0 0.0000	0 0	0.0000	861590
	ALPANA S DANGI	AFNPD2805C	1	8615906	; (0 0	8615906	74.7384	8615906	0 8615906	74.7384	. 0	74.7384	0 0.000	0 0	0.0000	861590
(b)	Central Government/State Government(s)																
(c)	Financial Institutions/Banks																
(d)	Any Other (specify)																
	Sub Total		1	8615906	i (0 0	8615906	74.7384	8615906	0 8615906	74.7384	0	74.7384	0 0.000	0 0	0.0000	861590
(2)	Foreign																
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)																
(b)	Government																
(c)	Institutions																
(d)	Foreign Portfolio Investor																
(e)	Any Other (specify)																
	Sub Total		0	0		0 0	0	0.0000	0	0 0	0.0000	0	0.0000	0 0.0000	0 0	0.0000	(
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		1	8615906		0 0	8615906	74.7384	8615906	0 8615906	74 7384	0	74.7384	0 0.0000	0 0	0 0000	861590

AUTH	UM INVESTMENT & INFRASTRUC	TURE LTD														
	III - Statement Showing shareholding Category & Name of the Shareholders (I)	pattern of the Public sha PAN (II) Nos. of sharehol ders (III)		No. of fully paid up equity	Partly Nos. of paid-up shares underlying bares		calculate d as per SCRR. 1957) As	Number of Vo				Shareholding as a % assuming full conversion of convertible	Number of Locked in shares (XII)		shares pledged or otherwise	Number of equity shares held in demateria
				,	(VI)	(1)		Class x Class y		a % of Total Voting	convertible securities (including	securities (as	sha	of total shares held (b)	(XIII)	lized form (XIV)
(1)	Institutions															
(1) (a)	Mutual Funds / UTI															
(b)	Venture Capital Funds															
(c)	Alternate Investment Funds															
(d)	Foreign Venture Capital Investors															
(e)	Foreign Portfolio Investors															
(f)	Financial Institutions / Banks															
(g)	Insurance Companies															
(h)	Provident Funds / Pension Funds															
(i)	Any Other (specify)															
	Sub Total		0	0	0 0	0	0.0000	0 0	0 0	0.0000	0	0.0000	0	0.0000	NA	0
(2)	Central Government / State Government(s) / President of India															
(3) (a)	Non-Institutions Individuals-															
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		685	186825	0 0	186825	1.6206	186825	186825	1.6206	0	1.6206	0	0.0000	NA	186825
	ii.Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		12	1275497	0 0	1275497	11.0643	1275497	1275497	11.0643	0	11.0643	0	0.0000	NA	1275497
	PRITHVIRAJ SAREMAL KOTHARI	AACTP4053E	1	270000	0 0	270000	2.3421	270000	270000	2.3421	0	2.3421	0	0.0000	NA	270000
	NAMITA KETAN KOTHARI RAKESH S KATHOTIA HUF	AACTK3210A AADHR5907E	1	260572	0 0	260572	2.2603	260572	260572 155000	2.2603	0	2.2603	0	0.0000	NA	260572 155000
(b)	NBFCs registered with RBI															

AUTH	JM INVESTMENT & INFRASTRUC	TURE LTD																
Table I	III - Statement Showing shareholding	pattern of the	Public shar	eholder as o	n 31/12/2019	9												
	Category & Name of the Shareholders (I)		sharehol ders (III)	No. of fully paid up equity shares held (IV)	paid-up sha equity und shares De held (V) Re	shares underlying Depository		calculate d as per	each class of securities (IX)				outstandin g	Shareholding as a % assuming full conversion of convertible	s a % Issuming full shares (X) conversion of convertible ecurities (as		Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in demateria lized form
					(۷1	'/		a % of		U	U	a % of			, .			() (1) ()
								(A+B+C2) (VIII)	Class x	Class y	Total	Total	(including	a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	No. (a)	of total shares held (b)	No. (Not applic shares able) (a) (Not applica ble) (b)	
(c)	Employee Trusts																	
(d)	Overseas Depositories (holding DRs) (balancing figure)																	
(e)	Any Other (specify)																	
	Investor Education and Protection Fund Authority		1	17550	0	0	17550	0.1522	17550	0	17550	0.1522	0	0.1522	0	0.0000	NA	17550
	Bodies Corporate		16	1427689	0	0	1427689	12.3844	1427689	0	1427689	12.3844	0	12.3844	0	0.0000	NA	1427689
	KHAZANA TRADELINKS PVT LTD NARIMAN INVESTMENT	AABCK2127L	1	1085000	0	0	1085000	9.4118	1085000	0	1085000	9.4118	0	9.4118	0	0.0000	NA	1085000
	HOLDINGS PRIVATE LIMITED	AACCN7000Q	1	164004	0	0	164004	1.4226	164004	0	164004	1.4226	0	1.4226	0	0.0000	NA	164004
	Clearing Member		11	2817	0	0	2817	0.0244	2817	0	2817	0.0244	0	0.0244	0	0.0000	NA	2817
	Non Resident Individual		2	1806	0	0	1806	0.0157	1806	0	1806	0.0157	0	0.0157	0	0.0000	NA	1806
	Sub Total		727	2912184	. 0	0	2912184	25.2616	2912184	0	2912184	25.2616	0	25.2616	0	0.0000	NA	2912184
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		727	2912184	0	0	2912184	25.2616	2912184	0	2912184	25.2616	0	25.2616	0	0.0000	NA	2912184

AUTH	IUM INVESTMENT & INFRASTRI	JCTURE LTD															
Table	Iv - Statement Showing sharehold	ding pattern of the N	Ion Promo	ter - Non Pul	blic sharehol	der as on 3	31/12/2019										
	Category & Name of the	PAN (II) No of	No. of	Partly paid-	Nos. of	Total	Sharehol	Numbe	r of Voting R	ights held	No of	Total	Num	ber of	Nun	nber of	Number
	Shareholders (I)	sharehol	fully paid	up equity	shares	nos.share	ding %	in each	class of sec	urities (IX)	shares	Shareholding	Lock	ed in	sh	ares	of equity
		ders (III)	up equity	shares	underlying	s held	calculate			` ,	Underlying	as a %	shares	s (XII)	pled	lged or	shares
				held (V)	Depository						, ,	assuming full		(/		erwise	held in
			held (IV)	` '	Receipts	+ (V)+(VI)					a	conversion of				mbered	demateri
			(,		(VI)	(1)	1957) As				convertible	convertible				XIII)	alized
					(*1)		a % of		oting Rights	Total as		securities (as			(,	form
							(A+B+C2		Class y Tota		(including	a percentage	No. (a)	As a %	No.	As a %	(XIV)
) (VIII)		-	Total	Warrants)	of diluted	, ,	of total		of total	,
							, , ,			Voting	(X)	share capital)		shares	applic	shares	
										rights	(7.7)	(XI)=(VII)+(X)		held (b)			
										3		As a % of		()	(a)	(Not	
												A+B+C2)			(-)	applica	
												A.D. 02)				ble) (b)	
(1)	Custodian / DR Holder															5.0) (5)	
,																	
	Employee Benefit Trust (under																
	SEBI (Share based Employee																
(2)	Benefit) Regulations, 2014)																
` '	, , ,																
	Public Shareholding																
	(C)=(C)(1)+(C)(2)	0	0	0	0	0	0.0000	0	0	0.0000	C	0.0000	0	0.0000	NA	NA	0

AUTHU	M INVE	STMENT & INFR	RASTRUCT	URE LTD								
Annexu	<u>re</u>											
		Table V - Statem	ent showing	details of s	ignificant benefic	ial owners a	as on 31/12/2	2019				
		Details of the (SI	BO)	Details	of the registered	owner	Details of	reporting	Date of creation			
								compa	any, whether direct or in	allect.		/ acquition of significant beneficial
												interest
		(I)			(II)		Whether by virtue of: (III)					(IV)
Sr No	Name	PAN / Passport	Nationality	Name	PAN / Passport	Nationality	Shares (%)	Voting	Rights on distributable	Exercise	Exercise of	
		No. in case of a			No. in case of a			rights (%)	dividend or any other	of control	significant	
		foreign national			foreign national			. ,	distribution (%)		influence	
				ALPANA S					, ,			
1				DANGI	AFNPD2805C	INDIAN	8615906	74.7384				
	Total						8615906	74.7384				