

Format of holding of specified securities

1. Name of Listed Entity: AUTHUM INVESTMENT & INFRASTRUCTURE LTD (CIN:L51109MH1982PLC319008)

2. Scrip Code 011262 Name of Scrip -, - Class of Security Equity

3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)

a. If under 31 (1)(b) then indicate the report for Quarter ending: 31/12/2019

b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. **Declaration** : The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in Locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

AUTHUM INVESTMENT & INFRASTRUCTURE LTD

Table I - Summary Statement holding of specified securities as on 31/12/2019

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter group	1	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	0	74.7384	0	0.0000	0	0.0000	8615906
(B)	Public	727	2912184	0	0	2912184	25.2616	2912184	0	2912184	25.2616	0	25.2616	0	0.0000	NA		2912184
(C)	Non Promoter - Non Public															NA		
(C1)	Shares underlying DRs						NA									NA		
(C2)	Shares held by Employee Trusts															NA		
	Total	728	11528090	0	0	11528090	100.0000	11528090	0	11528090	100.0000	0	100.0000	0				11528090

AUTHUM INVESTMENT & INFRASTRUCTURE LTD																			
Table II - Statement Showing shareholding pattern of the Promoter and Promoter Group as on 31/12/2019																			
	Category & Name of the Shareholders (I)	PAN (II)	Nos. of sharehold ers (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos.shares held (VII)=(IV)+ (V)+(VI)	Sharehold ing % calculated as per SCRR. 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstandin g convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise		Number of equity shares held in dematerial ized form (XIV)
									No of Voting Rights Class x	Class y	Total	Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	Indian																		
(a)	Individuals/Hindu undivided Family		1	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	0	74.7384	0	0.0000	0	0.0000	8615906
	ALPANA S DANGI	AFNPD2805C	1	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	0	74.7384	0	0.0000	0	0.0000	8615906
(b)	Central Government/State Government(s)																		
(c)	Financial Institutions/Banks																		
(d)	Any Other (specify)																		
	Sub Total		1	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	0	74.7384	0	0.0000	0	0.0000	8615906
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)																		
(b)	Government																		
(c)	Institutions																		
(d)	Foreign Portfolio Investor																		
(e)	Any Other (specify)																		
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		1	8615906	0	0	8615906	74.7384	8615906	0	8615906	74.7384	0	74.7384	0	0.0000	0	0.0000	8615906

Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2019

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos.shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
									No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)	As a % of total shares held (Not applicable) (b)	
									Class x	Class y	Total								
(1)	Institutions																		
(a)	Mutual Funds / UTI																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions / Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)																		
	Sub Total		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA		0
(2)	Central Government / State Government(s) / President of India																		
(3)	Non-Institutions																		
(a)	Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs		685	186825	0	0	186825	1.6206	186825	0	186825	1.6206	0	1.6206	0	0.0000	NA		186825
	ii.Individual shareholders holding nominal share capital in excess of Rs.2 lakhs		12	1275497	0	0	1275497	11.0643	1275497	0	1275497	11.0643	0	11.0643	0	0.0000	NA		1275497
	PRITHVIRAJ SAREMAL KOTHARI	AACTP4053E	1	270000	0	0	270000	2.3421	270000	0	270000	2.3421	0	2.3421	0	0.0000	NA		270000
	NAMITA KETAN KOTHARI	AACTK3210A	1	260572	0	0	260572	2.2603	260572	0	260572	2.2603	0	2.2603	0	0.0000	NA		260572
	RAKESH S KATHOTIA HUF	AADHR5907E	1	155000	0	0	155000	1.3445	155000	0	155000	1.3445	0	1.3445	0	0.0000	NA		155000
(b)	NBFCs registered with RBI																		

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 31/12/2019

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos.share s held (VII)=(IV) + (V)+(VI)	Sharehol ding % calculate d as per SCRR. 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstandin g convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in demateria lized form (XIV)
									No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (Not applic able) (a)	As a % of total shares held (Not applica ble) (b)	
									Class x	Class y	Total								
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other (specify)																		
	Investor Education and Protection Fund Authority		1	17550	0	0	17550	0.1522	17550	0	17550	0.1522	0	0.1522	0	0.0000	NA		17550
	Bodies Corporate		16	1427689	0	0	1427689	12.3844	1427689	0	1427689	12.3844	0	12.3844	0	0.0000	NA		1427689
	KHAZANA TRADELINKS PVT LTD	AABCK2127L	1	1085000	0	0	1085000	9.4118	1085000	0	1085000	9.4118	0	9.4118	0	0.0000	NA		1085000
	NARIMAN INVESTMENT HOLDINGS PRIVATE LIMITED	AACCN7000Q	1	164004	0	0	164004	1.4226	164004	0	164004	1.4226	0	1.4226	0	0.0000	NA		164004
	Clearing Member		11	2817	0	0	2817	0.0244	2817	0	2817	0.0244	0	0.0244	0	0.0000	NA		2817
	Non Resident Individual		2	1806	0	0	1806	0.0157	1806	0	1806	0.0157	0	0.0157	0	0.0000	NA		1806
	Sub Total		727	2912184	0	0	2912184	25.2616	2912184	0	2912184	25.2616	0	25.2616	0	0.0000	NA		2912184
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		727	2912184	0	0	2912184	25.2616	2912184	0	2912184	25.2616	0	25.2616	0	0.0000	NA		2912184

AUTHUM INVESTMENT & INFRASTRUCTURE LTD																			
Table Iv - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 31/12/2019																			
	Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos.share s held (VII)=(IV) + (V)+(VI)	Sharehold ing % calculate d as per SCRR. 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in demateri alized form (XIV)
									No of Voting Rights Class x	Class y	Total	Total as a % of Total Voting rights			No. (a)	As a % of total shares held (b)	No. (Not applic able) (a)	As a % of total shares held (Not applica ble) (b)	
(1)	Custodian / DR Holder																		
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
	Public Shareholding (C)=(C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

AUTHUM INVESTMENT & INFRASTRUCTURE LTD												
Annexure												
Table V - Statement showing details of significant beneficial owners as on 31/12/2019												
	Details of the (SBO)			Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:					Date of creation / acquisition of significant beneficial interest
	(I)			(II)			Whether by virtue of: (III)					(IV)
Sr No	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)	Exercise of control	Exercise of significant influence	
1				ALPANA S DANGI	AFNPD2805C	INDIAN	8615906	74.7384				
	Total						8615906	74.7384				